

CHAR500

NYS Annual Filing for Charitable Organizations
www.CharitiesNYS.com

Send with fee and attachments to:
NYS Office of the Attorney General
Charities Bureau Registration Section
28 Liberty Street
New York, NY 10005

2020

**Open to Public
Inspection**

1. General Information

For Fiscal Year Beginning (mm/dd/yyyy) **01/01/2020** and Ending (mm/dd/yyyy) **12/31/2020**

Check if Applicable: <input type="checkbox"/> Address Change <input type="checkbox"/> Name Change <input type="checkbox"/> Initial Filing <input type="checkbox"/> Final Filing <input type="checkbox"/> Amended Filing <input type="checkbox"/> Reg ID Pending	Name of Organization: KEEP AMERICA BEAUTIFUL, INC.	Employer Identification Number (EIN): 13-1761633
	Mailing Address: 1010 WASHINGTON BLVD.	NY Registration Number: 00-57-94
	City / State / ZIP: STAMFORD, CT 06901	Telephone: 203 659 3000
	Website: WWW.KAB.ORG	Email:

Check your organization's registration category: ☐ 7A only ☐ EPTL only ☒ DUAL (7A & EPTL) ☐ EXEMPT* Confirm your Registration Category in the Charities Registry at www.CharitiesNYS.com.

2. Certification

See instructions for certification requirements. Improper certification is a violation of law that may be subject to penalties. The certification requires two signatories.

We certify under penalties of perjury that we reviewed this report, including all attachments, and to the best of our knowledge and belief, they are true, correct and complete in accordance with the laws of the State of New York applicable to this report.

President or Authorized Officer:


Signature

HELEN LOWMAN
PRESIDENT & CEO

Print Name and Title Date

Chief Financial Officer or Treasurer:

Signature

Print Name and Title Date

3. Annual Reporting Exemption

Check the exemption(s) that apply to your filing. If your organization is claiming an exemption under one category (7A or EPTL only filers) or both categories (DUAL filers) that apply to your registration, complete only parts 1, 2, and 3, and submit the certified Char500. No fee, schedules, or additional attachments are required. If you cannot claim an exemption or are a DUAL filer that claims only one exemption, you must file applicable schedules and attachments and pay applicable fees.

☐ **3a. 7A filing exemption:** Total contributions from NY State including residents, foundations, government agencies, etc. did not exceed \$25,000 and the organization did not engage a professional fund raiser (PFR) or fund raising counsel (FRC) to solicit contributions during the fiscal year.

☐ **3b. EPTL filing exemption:** Gross receipts did not exceed \$25,000 and the market value of assets did not exceed \$25,000 at any time during the fiscal year.

4. Schedules and Attachments

See the following page for a checklist of schedules and attachments to complete your filing.

☐ Yes ☒ No 4a. Did your organization use a professional fund raiser, fund raising counsel or commercial co-venturer for fund raising activity in NY State? If yes, complete Schedule 4a.

☒ Yes ☐ No 4b. Did the organization receive government grants? If yes, complete Schedule 4b.

5. Fee

See the checklist on the next page to calculate your fee(s). Indicate fee(s) you are submitting here:	7A filing fee: \$ <u>25.</u>	EPTL filing fee: \$ <u>250.</u>	Total fee: \$ <u>275.</u>	Make a single check or money order payable to: "Department of Law"
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CHAR500 Annual Filing for Charitable Organizations (Updated January 2021)

*The "Exempt" category refers to an organization's NYS registration status. It does not refer to its IRS tax designation.

CHAR500

Annual Filing Checklist

Simply submit the certified CHAR500 with no fee, schedule, or additional attachments IF:

- Your organization is registered as 7A only and you marked the 7A filing exemption in Part 3.
- Your organization is registered as EPTL only and you marked the EPTL filing exemption in Part 3.
- Your organization is registered as DUAL and you marked both the 7A and EPTL filing exemption in Part 3.

Checklist of Schedules and Attachments

Check the schedules you must submit with your CHAR500 as described in Part 4:

- ☐ If you answered "yes" in Part 4a, submit Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsel (FRC), Commercial Co-Venturers (CCV)
- ☒ If you answered "yes" in Part 4b, submit Schedule 4b: Government Grants

Check the financial attachments you must submit with your CHAR500:

- ☒ IRS Form 990, 990-EZ, or 990-PF, and 990-T if applicable
- ☒ All additional IRS Form 990 Schedules, including Schedule B (Schedule of Contributors). Schedule B of public charities is exempt from disclosure and will not be available for public review.
- ☐ Our organization was eligible for and filed an IRS 990-N e-postcard. Our revenue exceeded \$25,000 and/or our assets exceeded \$25,000 in the filing year. We have included an IRS Form 990-EZ for state purposes only.

If you are a 7A only or DUAL filer, submit the applicable independent Certified Public Accountant's Review or Audit Report:

- ☐ Review Report if you received total revenue and support greater than \$250,000 and up to \$750,000.
- ☒ Audit Report if you received total revenue and support greater than \$750,000
- ☐ No Review Report or Audit Report is required because total revenue and support is less than \$250,000
- ☐ We are a DUAL filer and checked box 3a, no Review Report or Audit Report is required

Calculate Your Fee

For 7A and DUAL filers, calculate the 7A fee:

- ☐ \$0, if you checked the 7A exemption in Part 3a
- ☒ \$25, if you did not check the 7A exemption in Part 3a

For EPTL and DUAL filers, calculate the EPTL fee:

- ☐ \$0, if you checked the EPTL exemption in Part 3b
- ☐ \$25, if the NET WORTH is less than \$50,000
- ☐ \$50, if the NET WORTH is \$50,000 or more but less than \$250,000
- ☐ \$100, if the NET WORTH is \$250,000 or more but less than \$1,000,000
- ☒ \$250, if the NET WORTH is \$1,000,000 or more but less than \$10,000,000
- ☐ \$750, if the NET WORTH is \$10,000,000 or more but less than \$50,000,000
- ☐ \$1500, if the NET WORTH is \$50,000,000 or more

Send Your Filing

Send your CHAR500, all schedules and attachments, and total fee to:

NYS Office of the Attorney General
Charities Bureau Registration Section
28 Liberty Street
New York, NY 10005

Need Assistance?

Visit: www.CharitiesNYS.com
Call: (212) 416-8401
Email: Charities.Bureau@ag.ny.gov

Is my Registration Category 7A, EPTL, DUAL or EXEMPT?

Organizations are assigned a Registration Category upon registration with the NY Charities Bureau:

7A filers are registered to solicit contributions in New York under Article 7-A of the Executive Law ("7A")**EPTL** filers are registered under the Estates, Powers & Trusts Law ("EPTL") because they hold assets and/or conduct activities for charitable purposes in NY.**DUAL** filers are registered under both 7A and EPTL.**EXEMPT** filers have registered with the NY Charities Bureau and meet conditions in Schedule E - Registration Exemption for Charitable Organizations. These organizations are not required to file annual financial reports but may do so voluntarily.Confirm your Registration Category and learn more about NY law at www.CharitiesNYS.com.Where do I find my organization's NET WORTH?

NET WORTH for fee purposes is calculated on:

- IRS Form 990 Part I, line 22
- IRS Form 990 EZ Part I, line 21
- IRS Form 990 PF, calculate the difference between Total Assets at Fair Market Value (Part II, line 16(c)) and Total Liabilities (Part II, line 23(b)).

CHAR500

Schedule 4b: Government Grants
www.CharitiesNYS.com

2020

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If you checked the box in question 4b in Part 4, complete this schedule and list EACH government grant award by a domestic (federal, state or local) agency; interstate or intergovernmental agency (for example Port Authority of New York and New Jersey); and state or local authorities.

Use additional pages if necessary. Include this schedule with your certified CHAR500 NYS Annual Filing for Charitable Organizations.

1. Organization Information

Name of Organization:	NY Registration Number:
KEEP AMERICA BEAUTIFUL, INC.	00-57-94

2. Government Grants

Name of Government Agency	Amount of Grant
1. SMALL BUSINESS ADMINISTRATION (PAYCHECK PROTECTION PR	1. 513,972.
2. METRO BEAUTIFICATION & ENVIRONMENT COMM.	2. 13,000.
3. PAY MGT SYSTEM	3. 30,000.
4. MEMPHIS CONVENTION & VISITORS BUREAU	4. 5,556.
5.	5.
6.	6.
7.	7.
8.	8.
9.	9.
10.	10.
11.	11.
12.	12.
13.	13.
14.	14.
15.	15.
Total Government Grants:	Total: 562,528.

Form **990****Return of Organization Exempt From Income Tax**

OMB No. 1545-0047

2020

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.**A** For the 2020 calendar year, or tax year beginning and ending**B** Check if applicable:

- ☐ Address change
☐ Name change
☐ Initial return
☐ Final return/terminated
☐ Amended return
☐ Application pending

C Name of organization**KEEP AMERICA BEAUTIFUL, INC.**

Doing business as

Number and street (or P.O. box if mail is not delivered to street address)

1010 WASHINGTON BLVD.

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

STAMFORD, CT 06901**F** Name and address of principal officer: **HELEN LOWMAN****SAME AS C ABOVE****D** Employer identification number**13-1761633****E** Telephone number**203-659-3000****G** Gross receipts \$ **6,801,349.****H(a)** Is this a group returnfor subordinates? ☐ Yes ☒ No**H(b)** Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list. See instructions

H(c) Group exemption number ▶**I** Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) () (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: **WWW.KAB.ORG****K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L** Year of formation: **1953** **M** State of legal domicile: **CT****Part I Summary**

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: KEEP AMERICA BEAUTIFUL INSPIRES AND EDUCATES PEOPLE TO TAKE ACTION EVERY DAY THAT IMPROVES AND		
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	28
	4	Number of independent voting members of the governing body (Part VI, line 1b)	27
	5	Total number of individuals employed in calendar year 2020 (Part V, line 2a)	28
	6	Total number of volunteers (estimate if necessary)	0
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	0.
Revenue	b Net unrelated business taxable income from Form 990-T, Part I, line 11		0.
	8	Contributions and grants (Part VIII, line 1h)	7,764,638.
	9	Program service revenue (Part VIII, line 2g)	376,400.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	260,680.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	352,653.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	8,754,371.
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	1,026,906.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0.
	Expenses	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)
16a		Professional fundraising fees (Part IX, column (A), line 11e)	0.
b		Total fundraising expenses (Part IX, column (D), line 25) ▶ 958,962.	
17		Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	3,649,626.
18		Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	7,813,611.
19		Revenue less expenses. Subtract line 18 from line 12	940,760.
Net Assets or Fund Balances			
	20	Total assets (Part X, line 16)	8,590,805.
	21	Total liabilities (Part X, line 26)	594,860.
	22	Net assets or fund balances. Subtract line 21 from line 20	7,995,945.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer		Date			
	HELEN LOWMAN, PRESIDENT & CEO					
Paid	Print/Type preparer's name		Preparer's signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	JEFFREY ALVANAS			08/26/21	<input type="checkbox"/>	P01988325
Preparer Use Only	Firm's name ▶ CITRIN COOPERMAN & COMPANY, LLP				Firm's EIN ▶ 22-2428965	
	Firm's address ▶ 10 FORBES ROAD WEST BRAINTREE, MA 02184				Phone no. 781-356-2000	

May the IRS discuss this return with the preparer shown above? See instructions ☒ Yes ☐ No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

☒ X**1** Briefly describe the organization's mission:

KEEP AMERICA BEAUTIFUL (KAB), THE NATION'S LEADING COMMUNITY IMPROVEMENT NONPROFIT ORGANIZATION, INSPIRES AND EDUCATES PEOPLE TO TAKE ACTION EVERY DAY TO IMPROVE AND BEAUTIFY THEIR COMMUNITY ENVIRONMENT. ESTABLISHED IN 1953, KAB STRIVES TO END LITTERING,

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 751,096. including grants of \$ 350,000.) (Revenue \$ 800.)

CIGARETTE LITTER PREVENTION PROGRAM - THE CIGARETTE LITTER PREVENTION PROGRAM (CLPP) IS ONE OF KEEP AMERICA BEAUTIFUL'S SIGNATURE NATIONAL PROGRAMS. IT IS DESIGNED TO SUPPORT LOCAL COMMUNITY IMPROVEMENT INITIATIVES FOR REDUCING CIGARETTE BUTT LITTER. SINCE ITS ESTABLISHMENT OVER 17 YEARS AGO, THIS SIGNATURE PROGRAM HAS CONSISTENTLY CUT CIGARETTE BUTT LITTER BY APPROXIMATELY HALF BASED ON LOCAL MEASUREMENTS TAKEN IN THE FIRST FOUR MONTHS TO SIX MONTHS AFTER PROGRAM IMPLEMENTATION. EACH YEAR, KEEP AMERICA BEAUTIFUL AWARDS CLPP GRANTS TO ITS AFFILIATES, LOCAL GOVERNMENTS, BUSINESS IMPROVEMENT DISTRICTS, DOWNTOWN ASSOCIATIONS, PARKS AND RECREATION AREAS, AND OTHER ORGANIZATIONS DEDICATED TO ERADICATING LITTER AND BEAUTIFYING THEIR COMMUNITIES. SURVEY RESULTS ALSO DEMONSTRATE THAT AS COMMUNITIES

4b (Code:) (Expenses \$ 465,399. including grants of \$ 72,500.) (Revenue \$)

KEEP AMERICA BEAUTIFUL'S PUBLIC SPACE RECYCLING PROGRAM PROVIDES PUBLIC SPACE RECYCLING COLLECTION INFRASTRUCTURE IN THE FORM OF RECYCLING COLLECTION BINS AND TECHNICAL AND EDUCATIONAL MARKETING ASSISTANCE TO PROMOTE RECYCLING ON-THE-GO AND AWAY FROM HOME. TARGETING THE ONE-THIRD OF BEVERAGE CONTAINERS CONSUMED ON THE GO, THE PROGRAM SUPPORTS THE EFFORTS OF LOCAL GOVERNMENTS, COLLEGES AND UNIVERSITIES, AND NONPROFITS TO PLACE RECOGNIZABLE RECYCLING COLLECTION CONTAINERS IN PUBLIC SPACES TO PROMOTE CONSISTENT CONSUMER RECYCLING HABITS.

4c (Code:) (Expenses \$ 301,621. including grants of \$ 97,650.) (Revenue \$)

THE KEEP AMERICA BEAUTIFUL GREAT AMERICAN CLEANUP IS THE NATION'S LARGEST COMMUNITY IMPROVEMENT PROGRAM, WHICH TAKES PLACE ANNUALLY DURING SPRING IN AN ESTIMATED 20,000 COMMUNITIES NATIONWIDE. THIS PROGRAM, WHICH MARKED ITS 22ND YEAR IN 2020, ENGAGES MORE THAN 3 MILLION VOLUNTEERS AND PARTICIPANTS, ON AVERAGE, EVERY YEAR TO CREATE A POSITIVE AND LASTING IMPACT. AT KEEP AMERICA BEAUTIFUL, WE WORK TO INSPIRE PEOPLE TO TAKE ACTION EVERY DAY TO IMPROVE AND BEAUTIFY THEIR COMMUNITY ENVIRONMENT THROUGH PROGRAMS SUCH AS THE GREAT AMERICAN CLEANUP. THE GREAT AMERICAN CLEANUP PROMPTS INDIVIDUALS TO TAKE GREATER RESPONSIBILITY FOR THEIR LOCAL ENVIRONMENT BY CONDUCTING GRASSROOTS COMMUNITY SERVICE PROJECTS THAT ENGAGE VOLUNTEERS, LOCAL BUSINESSES AND CIVIC LEADERS. A SUCCESSFUL GREAT AMERICAN CLEANUP PROJECT MUST FULFILL

4d Other program services (Describe on Schedule O.)

(Expenses \$ 3,874,938. including grants of \$ 324,601.) (Revenue \$ 373,136.)

4e Total program service expenses 5,393,054.

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	1 X	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?	2 X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>	3	X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	4	X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>	5	X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>	6	X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>	7	X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>	8	X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>	9	X
10 Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? <i>If "Yes," complete Schedule D, Part V</i>	10	X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	11a X	
b Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>	11b	X
c Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>	11c	X
d Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	11d	X
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	11e	X
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	11f X	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>	12a X	
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>	12b	X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>	13	X
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	14b	X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>	15	X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>	16	X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	17	X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	18 X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>	19	X
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i>	20a	X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21 X	

Part IV Checklist of Required Schedules (continued)

	Yes	No
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26 Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions, for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If "Yes," complete Schedule L, Part IV		X
b A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV		X
c A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If "Yes," complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

Note: All Form 990 filers are required to complete Schedule O

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

	Yes	No
1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		
b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

	Yes	No
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return 2a 28		
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b X	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	X
b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O	3b	
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X
b If "Yes," enter the name of the foreign country See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).		
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	X
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	X
c If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c	
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	X
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7 Organizations that may receive deductible contributions under section 170(c).		
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a X	
b If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b X	
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	X
d If "Yes," indicate the number of Forms 8282 filed during the year 7d		
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	X
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	X
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	
8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?	8	
9 Sponsoring organizations maintaining donor advised funds.		
a Did the sponsoring organization make any taxable distributions under section 4966?	9a	
b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b	
10 Section 501(c)(7) organizations. Enter:		
a Initiation fees and capital contributions included on Part VIII, line 12 10a		
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b		
11 Section 501(c)(12) organizations. Enter:		
a Gross income from members or shareholders 11a		
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 11b		
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year 12b		
13 Section 501(c)(29) qualified nonprofit health insurance issuers.		
a Is the organization licensed to issue qualified health plans in more than one state? Note: See the instructions for additional information the organization must report on Schedule O.	13a	
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans 13b		
c Enter the amount of reserves on hand 13c		
14a Did the organization receive any payments for indoor tanning services during the tax year?	14a	X
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O	14b	
15 Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? If "Yes," see instructions and file Form 4720, Schedule N.	15	X
16 Is the organization an educational institution subject to the section 4968 excise tax on net investment income? If "Yes," complete Form 4720, Schedule O.	16	X

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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

	1a	1b	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year	28			
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain on Schedule O.				
b Enter the number of voting members included on line 1a, above, who are independent		27		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?			2	X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, trustees, or key employees to a management company or other person?			3	X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?			4	X
5 Did the organization become aware during the year of a significant diversion of the organization's assets?			5	X
6 Did the organization have members or stockholders?			6	X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?			7a	X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?			7b	X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a The governing body?			8a	X
b Each committee with authority to act on behalf of the governing body?			8b	X
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses on Schedule O			9	X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates?	X	
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?		X
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	X	
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13	X	
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	X	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	X	
13 Did the organization have a written whistleblower policy?	X	
14 Did the organization have a written document retention and destruction policy?	X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official		X
b Other officers or key employees of the organization		X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?		X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?		
16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed **CT, NY**

18 Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☒ Own website ☒ Another's website ☒ Upon request ☐ Other (explain on Schedule O)

19 Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records **HELEN LOWMAN - 203-659-3000**
1010 WASHINGTON BOULEVARD, STAMFORD, CT 06901

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent ContractorsCheck if Schedule O contains a response or note to any line in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) HELEN LOWMAN PRESIDENT & CEO	40.00	X		X				280,884.	0.	28,217.
(2) REBECCA LYONS CHIEF OPERATING OFFICER	40.00			X				184,775.	0.	43,523.
(3) NOAH ULLMAN OFFICER	40.00				X			173,703.	0.	34,656.
(4) RANDALL HARTMANN OFFICER	40.00					X		146,262.	0.	16,436.
(5) BALI LAMBIE-BOYER OFFICER (FORMER)	40.00						X	146,719.	0.	8,675.
(6) DAVID SCOTT OFFICER	40.00					X		143,242.	0.	4,416.
(7) DEBRA WOOLLEY OFFICER	40.00					X		122,089.	0.	23,158.
(8) JACQUELINE GRACE CHIEF DEVELOPMENT OFFICER	40.00					X		135,417.	0.	0.
(9) JESSICA WASSENAAR CHIEF OF STAFF (FORMER)	40.00						X	118,645.	0.	16,235.
(10) STEVE RUSSELL CHAIR	1.00	X		X				0.	0.	0.
(11) KANIKA GREENLEE VICE CHAIR	1.00	X		X				0.	0.	0.
(12) TOM WALDECK TREASURER	1.00	X		X				0.	0.	0.
(13) YUMI CLEVINGER-LEE SECRETARY	1.00	X		X				0.	0.	0.
(14) TIM CAREY DIRECTOR	1.00	X						0.	0.	0.
(15) CAROLYN CRAYTON DIRECTOR	1.00	X						0.	0.	0.
(16) STEVE DEPALO DIRECTOR	1.00	X						0.	0.	0.
(17) JENNIFER GRIFFIN DIRECTOR	1.00	X						0.	0.	0.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) ANNE JOHNSON DIRECTOR	1.00	X						0.	0.	0.
(19) GREG JOZWIAK DIRECTOR	1.00	X						0.	0.	0.
(20) BRUCE KARAS DIRECTOR	1.00	X						0.	0.	0.
(21) MAUREEN KNIGHTLY DIRECTOR	1.00	X						0.	0.	0.
(22) MISSY MARSHALL DIRECTOR	1.00	X						0.	0.	0.
(23) VIC MEHREN DIRECTOR	1.00	X						0.	0.	0.
(24) MONIQUE OXENDER DIRECTOR	1.00	X						0.	0.	0.
(25) GREGORY H. RAY DIRECTOR	1.00	X						0.	0.	0.
(26) WESLEY SCHULTZ, PH.D. DIRECTOR	1.00	X						0.	0.	0.
1b Subtotal								1,451,736.	0.	175,316.
c Total from continuation sheets to Part VII, Section A								0.	0.	0.
d Total (add lines 1b and 1c)								1,451,736.	0.	175,316.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **9**

3 Did the organization list any **former** officer, director, trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual

	Yes	No
3	X	
4	X	
5		X

4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual

5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
BURNS & MCDONNELL, 3650 MANSELL ROAD SUITE 3001, ALPHARETTA, GA 30022	RESEARCH	655,944.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **1**

SEE PART VII, SECTION A CONTINUATION SHEETS

Form 990 (2020)

Part VIII Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

				(A)	(B)	(C)	(D)
				Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants and Other Similar Amounts	1 a	Federated campaigns	1a				
	b	Membership dues	1b				
	c	Fundraising events	1c	266,045.			
	d	Related organizations	1d				
	e	Government grants (contributions)	1e	562,528.			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	5,447,523.			
	g	Noncash contributions included in lines 1a-1f	1g	\$ 464,082.			
	h	Total. Add lines 1a-1f		6,276,096.			
Program Service Revenue	2 a	CONFERENCES AND FEES	Business Code	900099	373,936.	373,936.	
	b						
	c						
	d						
	e						
	f	All other program service revenue					
	g	Total. Add lines 2a-2f		373,936.			
	Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		53,136.		
4		Income from investment of tax-exempt bond proceeds					
5		Royalties					
6 a		Gross rents	(i) Real	(ii) Personal			
6a							
b		Less: rental expenses	6b				
c		Rental income or (loss)	6c				
d		Net rental income or (loss)					
7 a		Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other			
7a		98,181.					
b		Less: cost or other basis and sales expenses	7b	0.			
c		Gain or (loss)	7c	98,181.			
d		Net gain or (loss)		98,181.			98,181.
8 a		Gross income from fundraising events (not including \$ 266,045. of contributions reported on line 1c). See Part IV, line 18	8a	0.			
b		Less: direct expenses	8b	46,706.			
c		Net income or (loss) from fundraising events		-46,706.			-46,706.
9 a		Gross income from gaming activities. See Part IV, line 19	9a				
b		Less: direct expenses	9b				
c	Net income or (loss) from gaming activities						
10 a	Gross sales of inventory, less returns and allowances	10a					
b	Less: cost of goods sold	10b					
c	Net income or (loss) from sales of inventory						
Miscellaneous Revenue	11 a		Business Code				
	b						
	c						
	d	All other revenue					
	e	Total. Add lines 11a-11d					
	12	Total revenue. See instructions		6,754,643.	373,936.	0.	104,611.

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	844,751.	844,751.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	280,884.		280,884.	
6 Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	2,238,427.	1,089,882.	577,516.	571,029.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	140,504.	60,784.	47,874.	31,846.
9 Other employee benefits	266,078.	115,108.	90,660.	60,310.
10 Payroll taxes	191,411.	83,376.	64,351.	43,684.
11 Fees for services (nonemployees):				
a Management	52,068.		52,068.	
b Legal	38,945.	5,010.	32,698.	1,237.
c Accounting	70,136.		70,136.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	26,559.		26,559.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)				
12 Advertising and promotion	765,175.	758,787.	6,388.	
13 Office expenses	192,825.	94,603.	82,779.	15,443.
14 Information technology	142,767.	35,700.	100,440.	6,627.
15 Royalties				
16 Occupancy	267,890.	124,232.	76,653.	67,005.
17 Travel	28,962.	19,259.	560.	9,143.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	19,721.	750.	11,510.	7,461.
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	29,686.		29,686.	
23 Insurance	47,896.		47,896.	
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a EVENT SUPPLIES, PRODUCT	1,308,619.	1,256,833.	5,768.	46,018.
b CONSULTANTS	1,163,562.	903,979.	160,424.	99,159.
c BAD DEBT	55,015.		55,015.	
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	8,171,881.	5,393,054.	1,819,865.	958,962.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ☐ if following SOP 98-2 (ASC 958-720)

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	1,985,413.	1	1,455,459.
	2 Savings and temporary cash investments	1,251,147.	2	1,254,653.
	3 Pledges and grants receivable, net	1,491,542.	3	425,644.
	4 Accounts receivable, net		4	
	5 Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	63,321.	9	31,799.
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 312,766.		
	b Less: accumulated depreciation	10b 288,814.	10c	23,952.
	11 Investments - publicly traded securities	3,410,830.	11	4,012,407.
	12 Investments - other securities. See Part IV, line 11		12	
	13 Investments - program-related. See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11	334,914.	15	204,714.
16 Total assets. Add lines 1 through 15 (must equal line 33)	8,590,805.	16	7,408,628.	
Liabilities	17 Accounts payable and accrued expenses	544,860.	17	338,482.
	18 Grants payable		18	
	19 Deferred revenue	50,000.	19	10,000.
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D		25	
	26 Total liabilities. Add lines 17 through 25	594,860.	26	348,482.
	Net Assets or Fund Balances	Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.		
27 Net assets without donor restrictions		4,722,559.	27	3,337,501.
28 Net assets with donor restrictions		3,273,386.	28	3,722,645.
Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.				
29 Capital stock or trust principal, or current funds			29	
30 Paid-in or capital surplus, or land, building, or equipment fund			30	
31 Retained earnings, endowment, accumulated income, or other funds			31	
32 Total net assets or fund balances		7,995,945.	32	7,060,146.
33 Total liabilities and net assets/fund balances		8,590,805.	33	7,408,628.

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Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	6,754,643.
2	Total expenses (must equal Part IX, column (A), line 25)	2	8,171,881.
3	Revenue less expenses. Subtract line 2 from line 1	3	-1,417,238.
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	7,995,945.
5	Net unrealized gains (losses) on investments	5	481,439.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain on Schedule O)	9	0.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, column (B))	10	7,060,146.

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☒

- 1 Accounting method used to prepare the Form 990: ☐ Cash ☒ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.
- 2a Were the organization's financial statements compiled or reviewed by an independent accountant? _____
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:
☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- b Were the organization's financial statements audited by an independent accountant? _____
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:
☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? _____
If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O.
- 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? _____
- b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why on Schedule O and describe any steps taken to undergo such audits _____

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		

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SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.
▶ Attach to Form 990 or Form 990-EZ.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public Inspection

Name of the organization

KEEP AMERICA BEAUTIFUL, INC.

Employer identification number

13-1761633

Part I Reason for Public Charity Status. (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: _____
- 10 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions, subject to certain exceptions; and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
- f Enter the number of supported organizations: _____
- g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
Total						

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	8359562.	7222987.	7424921.	7802138.	5762124.	36571732.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge ...						
4 Total. Add lines 1 through 3	8359562.	7222987.	7424921.	7802138.	5762124.	36571732.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						3906050.
6 Public support. Subtract line 5 from line 4.						32665682.

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
7 Amounts from line 4	8359562.	7222987.	7424921.	7802138.	5762124.	36571732.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources ...	88,901.	96,354.	115,754.	70,339.	53,136.	424,484.
9 Net income from unrelated business activities, whether or not the business is regularly carried on ...						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	1,931.	7,328.	78,809.			88,068.
11 Total support. Add lines 7 through 10						37084284.
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

Section C. Computation of Public Support Percentage

14 Public support percentage for 2020 (line 6, column (f), divided by line 11, column (f))	14	88.08	%
15 Public support percentage from 2019 Schedule A, Part II, line 14	15	91.32	%
16a 33 1/3% support test - 2020. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization			<input checked="" type="checkbox"/>
b 33 1/3% support test - 2019. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization			<input type="checkbox"/>
17a 10% -facts-and-circumstances test - 2020. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and stop here. Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization			<input type="checkbox"/>
b 10% -facts-and-circumstances test - 2019. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and stop here. Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization			<input type="checkbox"/>
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions			<input type="checkbox"/>

Schedule A (Form 990 or 990-EZ) 2020

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge ...						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources ...						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ☐**Section C. Computation of Public Support Percentage**

15 Public support percentage for 2020 (line 8, column (f), divided by line 13, column (f))	15	%
16 Public support percentage from 2019 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2020 (line 10c, column (f), divided by line 13, column (f))	17	%
18 Investment income percentage from 2019 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2020. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐**b 33 1/3% support tests - 2019.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? <i>If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</i>		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? <i>If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</i>		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? <i>If "Yes," answer lines 3b and 3c below.</i>		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? <i>If "Yes," describe in Part VI when and how the organization made the determination.</i>		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? <i>If "Yes," explain in Part VI what controls the organization put in place to ensure such use.</i>		
4a Was any supported organization not organized in the United States ("foreign supported organization")? <i>If "Yes," and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.</i>		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? <i>If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</i>		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? <i>If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</i>		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? <i>If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</i>		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? <i>If "Yes," provide detail in Part VI.</i>		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? <i>If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).</i>		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? <i>If "Yes," provide detail in Part VI.</i>		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? <i>If "Yes," provide detail in Part VI.</i>		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? <i>If "Yes," provide detail in Part VI.</i>		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? <i>If "Yes," answer line 10b below.</i>		
b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		

Part IV Supporting Organizations (continued)

	Yes	No
11 Has the organization accepted a gift or contribution from any of the following persons?		
a A person who directly or indirectly controls, either alone or together with persons described in lines 11b and 11c below, the governing body of a supported organization?		
11a		
b A family member of a person described in line 11a above?		
11b		
c A 35% controlled entity of a person described in line 11a or 11b above? If "Yes" to line 11a, 11b, or 11c, provide detail in Part VI.		
11c		

Section B. Type I Supporting Organizations

	Yes	No
1 Did the governing body, members of the governing body, officers acting in their official capacity, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's officers, directors, or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove officers, directors, or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.		
1		
2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.		
2		

Section C. Type II Supporting Organizations

	Yes	No
1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).		
1		

Section D. All Type III Supporting Organizations

	Yes	No
1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?		
1		
2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).		
2		
3 By reason of the relationship described in line 2, above, did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.		
3		

Section E. Type III Functionally Integrated Supporting Organizations

	Yes	No
1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).		
a <input type="checkbox"/> The organization satisfied the Activities Test. Complete line 2 below.		
b <input type="checkbox"/> The organization is the parent of each of its supported organizations. Complete line 3 below.		
c <input type="checkbox"/> The organization supported a governmental entity. Describe in Part VI how you supported a governmental entity (see instructions).		
2 Activities Test. Answer lines 2a and 2b below.		
a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.		
2a		
b Did the activities described in line 2a, above, constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.		
2b		
3 Parent of Supported Organizations. Answer lines 3a and 3b below.		
a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? If "Yes" or "No" provide details in Part VI.		
3a		
b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.		
3b		

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

- 1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions.
All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

Section A - Adjusted Net Income

		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3.	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8	

Section B - Minimum Asset Amount

		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	Total (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d.	3	
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount, see instructions).	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by 0.035.	6	
7	Recoveries of prior-year distributions	7	
8	Minimum Asset Amount (add line 7 to line 6)	8	

Section C - Distributable Amount

			Current Year
1	Adjusted net income for prior year (from Section A, line 8, column A)	1	
2	Enter 0.85 of line 1.	2	
3	Minimum asset amount for prior year (from Section B, line 8, column A)	3	
4	Enter greater of line 2 or line 3.	4	
5	Income tax imposed in prior year	5	
6	Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).	6	
7	<input type="checkbox"/> Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).		

Schedule A (Form 990 or 990-EZ) 2020

Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

Section D - Distributions		Current Year
1	Amounts paid to supported organizations to accomplish exempt purposes	1
2	Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	2
3	Administrative expenses paid to accomplish exempt purposes of supported organizations	3
4	Amounts paid to acquire exempt-use assets	4
5	Qualified set-aside amounts (prior IRS approval required - provide details in Part VI)	5
6	Other distributions (describe in Part VI). See instructions.	6
7	Total annual distributions. Add lines 1 through 6.	7
8	Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.	8
9	Distributable amount for 2020 from Section C, line 6	9
10	Line 8 amount divided by line 9 amount	10

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2020	(iii) Distributable Amount for 2020
1	Distributable amount for 2020 from Section C, line 6		
2	Underdistributions, if any, for years prior to 2020 (reasonable cause required - explain in Part VI). See instructions.		
3	Excess distributions carryover, if any, to 2020		
a	From 2015		
b	From 2016		
c	From 2017		
d	From 2018		
e	From 2019		
f	Total of lines 3a through 3e		
g	Applied to underdistributions of prior years		
h	Applied to 2020 distributable amount		
i	Carryover from 2015 not applied (see instructions)		
j	Remainder. Subtract lines 3g, 3h, and 3i from line 3f.		
4	Distributions for 2020 from Section D, line 7: \$		
a	Applied to underdistributions of prior years		
b	Applied to 2020 distributable amount		
c	Remainder. Subtract lines 4a and 4b from line 4.		
5	Remaining underdistributions for years prior to 2020, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.		
6	Remaining underdistributions for 2020. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.		
7	Excess distributions carryover to 2021. Add lines 3j and 4c.		
8	Breakdown of line 7:		
a	Excess from 2016		
b	Excess from 2017		
c	Excess from 2018		
d	Excess from 2019		
e	Excess from 2020		

Schedule A (Form 990 or 990-EZ) 2020

Part VI

Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information.
(See instructions.)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

Name of the organization

Employer identification number

KEEP AMERICA BEAUTIFUL, INC.**13-1761633**

Organization type (check one):

Filers of:**Section:**

Form 990 or 990-EZ

☒ 501(c)(3) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☐ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☒ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

KEEP AMERICA BEAUTIFUL, INC.**13-1761633****Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ALTRIA GROUP INC. 6601 W. BROAD ST RICHMOND, VA 23230	\$ 845,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	REYNOLDS NORTH AMERICAN CORPORATION P.O. BOX 2959 WINSTON SALEM, NC 27101	\$ 785,875.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
3	THE DOW CHEMICAL COMPANY 2511 E. PATRICK RD MIDLAND, MI 48674	\$ 634,748.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
4	MARS WRIGLEY CONFECTIONERY 600 W. CHICAGO AVE CHICAGO, IL 60654	\$ 375,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
5	SANTA FE NATURAL TOBACCO CO PO BOX 25140 SANTA FE, NM 87504	\$ 320,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	ANHEUSER-BUSCH ONE BUSCH PLACE ST ST. LOUIS, MT 63118	\$ 300,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
KEEP AMERICA BEAUTIFUL, INC.	13-1761633

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	COCA-COLA FOUNDATION 1 COCA COLA PLAZA NW ATLANTA, GA 30313	\$ 300,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
8	AMERICAN BEVERAGE ASSOCIATION 1275 PENNSYLVANIA AVE NW WASHINGTON, DC 20004	\$ 269,540.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
9	UPS FOUNDATION 55 GLENLAKE PKWY NE ATLANTA, GA 30328	\$ 150,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Employer identification number

13-1761633

Part II

[illegible]

Name of organization

Employer identification number

KEEP AMERICA BEAUTIFUL, INC.**13-1761633****Part III**

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info, once.) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

SCHEDULE D
(Form 990)Department of the Treasury
Internal Revenue Service**Supplemental Financial Statements**▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**
▶ **Attach to Form 990.**▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

OMB No. 1545-0047

2020Open to Public
Inspection

Name of the organization

KEEP AMERICA BEAUTIFUL, INC.

Employer identification number

13-1761633**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate value of contributions to (during year)		
3 Aggregate value of grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <input type="checkbox"/> Yes <input type="checkbox"/> No		
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <input type="checkbox"/> Yes <input type="checkbox"/> No		

Part II Conservation Easements. Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (for example, recreation or education)	<input type="checkbox"/> Preservation of a historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under FASB ASC 958, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide in Part XIII the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 ▶ \$

(ii) Assets included in Form 990, Part X ▶ \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under FASB ASC 958 relating to these items:

a Revenue included on Form 990, Part VIII, line 1 ▶ \$

b Assets included in Form 990, Part X ▶ \$

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule D (Form 990) 2020

032051 12-01-20

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that make significant use of its collection items (check all that apply):

a ☐ Public exhibition

b ☐ Scholarly research

c ☐ Preservation for future generations

d ☐ Loan or exchange program

e ☐ Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets

to be sold to raise funds rather than to be maintained as part of the organization's collection? _____

☐ Yes

☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? _____

☐ Yes

☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? _____

☐ Yes

☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII _____

Part V Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ▶ _____ %

b Permanent endowment ▶ _____ %

c Term endowment ▶ _____ %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) Unrelated organizations

(ii) Related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? _____

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment		51,693.	47,628.	4,065.
e Other		261,073.	241,186.	19,887.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				23,952.

Schedule D (Form 990) 2020

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII ☒

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	13,490,871.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains (losses) on investments	2a	481,439.
b	Donated services and use of facilities	2b	6,281,348.
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	6,762,787.
3	Subtract line 2e from line 1	3	6,728,084.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	26,559.
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	26,559.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	6,754,643.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	14,426,670.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	6,281,348.
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	6,281,348.
3	Subtract line 2e from line 1	3	8,145,322.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	26,559.
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	26,559.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	8,171,881.

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X, LINE 2:

THE ORGANIZATION ACCOUNTS FOR UNCERTAIN TAX POSITIONS IN ACCORDANCE WITH FASB ASC TOPIC INCOME TAXES. THIS TOPIC PRESCRIBES A RECOGNITION THRESHOLD AND MEASUREMENT PROCESS FOR FINANCIAL STATEMENT RECOGNITION OF UNCERTAIN TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN IN A TAX RETURN. THIS TOPIC ALSO PROVIDES GUIDANCE ON RECOGNITION, DERECOGNITION, CLASSIFICATION, INTEREST AND PENALTIES, ACCOUNTING IN INTERIM PERIODS, DISCLOSURE AND TRANSITION. AT DECEMBER 31, 2020 AND 2019, MANAGEMENT BELIEVES THAT THE ORGANIZATION HAS NO MATERIAL UNCERTAIN TAX POSITIONS.

Part XIII	Supplemental Information (continued)
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This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.

(Form 990 or 990-EZ)

Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

OMB No. 1545-0047

2020

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ **Attach to Form 990 or Form 990-EZ.**

► Go to www.irs.gov/Form990 for instructions and the latest information.

Name of the organization

KEEP AMERICA BEAUTIFUL, INC.

Employer identification number

13-1761633

Part I

Fundraising Activities.

Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a** ☐ Mail solicitations
- b** ☐ Internet and email solicitations
- c** ☐ Phone solicitations
- d** ☐ In-person solicitations
- e** ☐ Solicitation of non-government grants
- f** ☐ Solicitation of government grants
- g** ☐ Special fundraising events

- 2 a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☐ Yes☐ **No**

- b** If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Total						

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

[illegible]

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events (add col. (a) through col. (c))
		VISION DINNER (event type)	(event type)	NONE (total number)	
Revenue	1	Gross receipts	266,045.		266,045.
	2	Less: Contributions	266,045.		266,045.
	3	Gross income (line 1 minus line 2)			
Direct Expenses	4	Cash prizes			
	5	Noncash prizes			
	6	Rent/facility costs	10,129.		10,129.
	7	Food and beverages			
	8	Entertainment			
	9	Other direct expenses	36,577.		36,577.
	10	Direct expense summary. Add lines 4 through 9 in column (d)			46,706.
	11	Net income summary. Subtract line 10 from line 3, column (d)			-46,706.

Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1	Gross revenue			
	2	Cash prizes			
Direct Expenses	3	Noncash prizes			
	4	Rent/facility costs			
	5	Other direct expenses			
	6	Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No
	7	Direct expense summary. Add lines 2 through 5 in column (d)			
	8	Net gaming income summary. Subtract line 7 from line 1, column (d)			

9 Enter the state(s) in which the organization conducts gaming activities: _____

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: _____

- 11 Does the organization conduct gaming activities with nonmembers? ☐ Yes ☐ No
- 12 Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No
- 13 Indicate the percentage of gaming activity conducted in:
- | | | |
|-------------------------------|-----|---|
| a The organization's facility | 13a | % |
| b An outside facility | 13b | % |
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ☐ _____Address ☐ _____

- 15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?
- ☐
- Yes
- ☐
- No

b If "Yes," enter the amount of gaming revenue received by the organization ☐ \$ _____ and the amount of gaming revenue retained by the third party ☐ \$ _____

c If "Yes," enter name and address of the third party:

Name ☐ _____Address ☐ _____

- 16 Gaming manager information:

Name ☐ _____Gaming manager compensation ☐ \$ _____Description of services provided ☐ _____☐ Director/officer☐ Employee☐ Independent contractor

- 17 Mandatory distributions:

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ Nob Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ☐ \$ _____**Part IV Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

Schedule G (Form 990 or 990-EZ)		REF: AMERI
Part IV	Supplemental Information	(continued)

[illegible]

**SCHEDULE I
(Form 990)**

Department of the Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**
Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

► Attach to Form 990.

► Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

**Open to Public
Inspection**

Name of the organization

KEEP AMERICA BEAUTIFUL, INC.

Employer identification number
13-1761633

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☐ Yes ☒ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
KEEP CHARLOTTE BEAUTIFUL 25550 HARBOR VIEW ROAD SUITE 2 PORT CHARLOTTE, FL 33980	01-0588554		3,500.	0.			PROGRAM SUPPORT
KEEP DURHAM BEAUTIFUL 2011 FAY STREET DURHAM, NC 27704	02-0735076		6,250.	0.			PROGRAM SUPPORT
LIBERATION PROGRAMS, INC. 129 GLOVER AVE NORWALK, CT 06850	06-0867006		5,000.	0.			PROGRAM SUPPORT
ALLEGHENY CLEANWAYS 2801 N CHARLES ST PITTSBURGH, PA 15214	20-3033220		8,000.	0.			PROGRAM SUPPORT
KEEP ROME FLOYD BEAUTIFUL P.O. BOX 1433 ROME, GA 30162-1433	22-3188213		1,750.	0.			PROGRAM SUPPORT
PENNSYLVANIA ENVIRONMENTAL COUNCIL 175 MAIN STREET LUZERNE, PA 18709	23-7286159		10,000.	0.			PROGRAM SUPPORT

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

83.

3 Enter total number of other organizations listed in the line 1 table

83.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) 2020

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NANCY WHEELS COMMUNITY VOLUNTEERS 1574 LINCOLN AVE SE ATLANTA, GA 30317	23-7614584		7,000.	0.			PROGRAM SUPPORT
KEEP PENNSYLVANIA BEAUTIFUL 105 WEST 4TH ST. GREENSBURG, PA 15601	25-1645291		20,000.	0.			PROGRAM SUPPORT
GWINNETT CLEAN & BEAUTIFUL 446 W CROGAN ST LAWRENCEVILLE, GA 30046	26-2969476		1,000.	0.			PROGRAM SUPPORT
BALTIMORE TREE TRUST 120 W. NORTH AVENUE BALTIMORE, MD 21201	26-4031411		4,500.	0.			PROGRAM SUPPORT
KEEP NORWALK BEAUTIFUL 125 EAST AVENUE NORWALK, CT 06851	27-1850323		8,000.	0.			PROGRAM SUPPORT
DOWNTOWN BOSTON BID 101 ARCH ST. SUITE 160 BOSTON, MA 02180	27-3596446		2,500.	0.			PROGRAM SUPPORT
KEEP THOMAS COUNTY BEAUTIFUL P. O. BOX 1632 THOMASVILLE, GA 31799	27-3616032		2,500.	0.			PROGRAM SUPPORT
KEEP BRUNSWICK COUNTY BEAUTIFUL P. O. BOX 249 BOLIVIA, NC 28422	30-0384879		5,000.	0.			PROGRAM SUPPORT
KEEP CINCINNATI BEAUTIFUL 1115 BATES AVENUE CINCINNATI, OH 45225	31-0948219		18,000.	0.			PROGRAM SUPPORT

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
KEEP INDIANAPOLIS BEAUTIFUL 445 N. PENNSYLVANIA ST. SUITE 330 INDIANAPOLIS, IN 46203	31-1005792		17,500.	0.			PROGRAM SUPPORT
KEEP SAVANNAH BEAUTIFUL 14 INTERCHANGE COURT SAVANNAH, GA 31415	31-1579230		7,000.	0.			PROGRAM SUPPORT
KEEP OHIO BEAUTIFUL, INC. PO BOX 13135 FAIRLAWN, OH 44334	31-1775229		41,400.	0.			PROGRAM SUPPORT
HOCKING COUNTY HEALTH DEPARTMENT 350 STATE RD 664 N LOGAN, OH 43138	31-6400070		1,750.	0.			PROGRAM SUPPORT
KEEP COLUMBUS BEAUTIFUL 1265 MARION RD COLUMBUS, OH 43207	31-6400223		10,000.	0.			PROGRAM SUPPORT
KEEP AKRON BEAUTIFUL 850 E. MARKET ST. AKRON, OH 44305	34-1341298		250.	0.			PROGRAM SUPPORT
KEEP BROOKLYN BEAUTIFUL 7619 MEMPHIS AVE BROOKLYN, OH 44144	34-6000347		7,000.	0.			PROGRAM SUPPORT
KEEP MENTOR BEAUTIFUL 8500 CIVIC CENTER BLVD. MENTOR, OH 44060	34-6001861		1,000.	0.			PROGRAM SUPPORT
KEEP DEFIANCE COUNTY BEAUTIFUL 500 COURT STREET DEFIANCE, OH 43512	34-6400373		2,500.	0.			PROGRAM SUPPORT

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
KEEP ALLIANCE BEAUTIFUL P.O. BOX 324 ALLIANCE, NV 69301	36-3314935		790.	0.			PROGRAM SUPPORT
KEEP CHICAGO BEAUTIFUL 25 E. WASHINGTON SUITE 1104 CHICAGO, IL 60602	36-3529780		2,000.	0.			PROGRAM SUPPORT
KEEP GENESEE COUNTY BEAUTIFUL 502 CHURCH ST FLINT, MI 48502	38-1359516		8,200.	0.			PROGRAM SUPPORT
CITY OF MIDLAND 4811 N SAGINAW RD MIDLAND, MI 48640	38-6004711		1,000.	0.			PROGRAM SUPPORT
KEEP MILWAUKEE BEAUTIFUL 1313 W MOUNT VERNON AVE MILWAUKEE, WI 53233	39-1449048		1,000.	0.			PROGRAM SUPPORT
KEEP NEBRASKA CITY BEAUTIFUL 1902 4TH CORSO NEBRASKA CITY, NV 68410	47-0491821		3,500.	0.			PROGRAM SUPPORT
KEEP TOPEKA SHAWNEE CNTY BEAUT. P. O. BOX 750775 TOPEKA, KS 66675-0775	48-0887925		5,000.	0.			PROGRAM SUPPORT
DOWNTOWN FREDERICK PARTNERSHIP 19 E CHURCH ST FREDERICK, MD 21701	52-1682341		3,500.	0.			PROGRAM SUPPORT
MARYLAND COASTAL BAYS PROGRAM 8219 STEPHEN DECATUR HIGHWAY BERLIN, MD 21811	52-2123356		20,000.	0.			PROGRAM SUPPORT

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WICOMICO ENVIRONMENTAL TRUST 1320 BELMONT AVE SALISBURY, MD 21804	52-6436132		14,000.	0.			PROGRAM SUPPORT
KEEP VIRGINIA BEAUTIFUL 2800 N. PARHAM ROAD RICHMOND, VA 23294	54-0831204		5,000.	0.			PROGRAM SUPPORT
KEEP SOUTH CAROLINA BEAUTIFUL 2700 MIDDLEBURG DR. COLUMBIA, SC 29204	57-1107432		14,500.	0.			PROGRAM SUPPORT
KEEP NEW HANOVER COUNTY BEAUTIFUL 617 SURREY STREET WILMINGTON, NC 28405	58-1379462		14,000.	0.			PROGRAM SUPPORT
KEEP GOLDEN ISLES BEAUTIFUL PO BOX 1493 BRUNSWICK, GA 31521	58-2393363		33,500.	0.			PROGRAM SUPPORT
KEEP EAST POINT BEAUTIFUL P. O. BOX 91929 EAST POINT, GA 30344	58-2960871		14,000.	0.			PROGRAM SUPPORT
KEEP DOUGLAS COUNTY BEAUTIFUL 8700 HOSPITAL DR DOUGLASVILLE, GA 30134	58-6000818		20,000.	0.			PROGRAM SUPPORT
KEEP PENSACOLA BEAUTIFUL 9 W. BLOUNT STREET PENSACOLA, FL 32501	59-1863230		500.	0.			PROGRAM SUPPORT
KEEP ALACHUA BEAUTIFUL 211 SW 4TH AVENUESUITE 1 GAINESVILLE, FL 32601	59-3078627		11,750.	0.			PROGRAM SUPPORT

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
KEEP PUTNAM BEAUTIFUL 205 N 2ND ST PALATKA, FL 32177	59-3112168		20,000.	0.			PROGRAM SUPPORT
CITY OF TALLAHASSEE 300 S ADAMS ST TALLAHASSEE, FL 32301	59-6000435		7,000.	0.			PROGRAM SUPPORT
KEEP PORT ST. LUCIE BEAUTIFUL 450 SW THORNHILL DRIVE PORT ST. LUCIE, FL 34984-4370	59-6141662		20,000.	0.			PROGRAM SUPPORT
KEEP LEXINGTON BEAUTIFUL 835 NATIONAL AVENUE LEXINGTON, MA 40502	61-0858140		3,500.	0.			PROGRAM SUPPORT
KEEP TENNESSEE BEAUTIFUL UNIVERSITY OF MEMPHIS976 W. PARK LOOP, SUITE 113 - MEMPHIS, TN 38152	62-0648618		15,500.	0.			PROGRAM SUPPORT
KEEP BLOUNT BEAUTIFUL 356 SANDERSON ST ALCOA, TN 37701	62-1486298		3,500.	0.			PROGRAM SUPPORT
LITTLE RIVER WATERSHED ASSOCIATION 1006 E LAMAR ALEXANDER PKWY MARYVILLE, TN 37804	62-1831722		3,500.	0.			PROGRAM SUPPORT
KEEP CHESTER BEAUTIFUL 315 TALLEY STORE ROAD HENDERSON, TN 62601-8666	62-6018666		5,000.	0.			PROGRAM SUPPORT
UNIVERSITY OF MEMPHIS PLAYGROUND 976 W PARK LOOP NASHVILLE, TN 38152	62-6048540		10,000.	0.			PROGRAM SUPPORT

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
KEEP ETOWAH CO. BEAUTIFUL 800 FORREST AVENUE GADSDEN, AL 35901	63-0992614		500.	0.			PROGRAM SUPPORT
KEEP ALABAMA BEAUTIFUL P. O. BOX 674 MERIDIANVILLE, AL 35759	63-1154072		1,500.	0.			PROGRAM SUPPORT
KEEP MISSISSIPPI BEAUTIFUL 208 KEY DRIVE, SUITE B MADISON, MO 39110	64-0764171		500.	0.			PROGRAM SUPPORT
NEW ALBANY MAIN STREET ASSOCIATION 135 E BANKHEAD STREET NEW ALBANY, MO 38652	64-0884475		3,500.	0.			PROGRAM SUPPORT
KEEP MERIDIAN AND LAUDERDALE COUNTY BEAUTIFUL - 3637 PARKWAY BLVD - MERIDIAN, MO 39305	64-6000696		6,000.	0.			PROGRAM SUPPORT
KEEP PALM BEACH COUNTY BEAUTIFUL 1920 PALM BEACH LAKES BLVD SUITE 21 WEST PALM BEACH, FL 33409	65-0117981		3,500.	0.			PROGRAM SUPPORT
SHREVEPORT GREEN 3625 SOUTHERN AVE. SHREVEPORT, LA 71104	72-0970610		14,500.	0.			PROGRAM SUPPORT
KEEP BOSSIER BEAUTIFUL 707 BARKSDALE BLVD. ATT: DAWNA BERR BOSSIER CITY, LA 71111	72-1058153		500.	0.			PROGRAM SUPPORT
KEEP COVINGTON BEAUTIFUL 317 N JEFFERSON AVE COVINGTON, LA 70433	72-1275066		500.	0.			PROGRAM SUPPORT

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
KEEP LOUISIANA BEAUTIFUL 902 COFFEE ST MANDEVILLE, LA 70448	72-1499919		14,600.	0.			PROGRAM SUPPORT
KEEP DERIDDER BEAUTIFUL 200 S. JEFFERSON ST. DERIDDER, LA 70634	72-6000336		5,000.	0.			PROGRAM SUPPORT
KEEP LINCOLN PARISH BEAUTIFUL PO BOX 979 RUSTON, LA 71273	72-6000673		5,000.	0.			PROGRAM SUPPORT
KEEP TANGIPAHOA BEAUTIFUL 113 NORTH BAY STREET AMITE, LA 70422	72-6001371		2,750.	0.			PROGRAM SUPPORT
OKLAHOMA CITY BEAUTIFUL 3535 N. CLASSEN OKLAHOMA CITY, OK 73118	73-0785200		7,000.	0.			PROGRAM SUPPORT
KEEP HOUSTON BEAUTIFUL 104 N GREENWOOD HOUSTON, TX 77011	74-1946081		16,000.	0.			PROGRAM SUPPORT
KEEP IRVING BEAUTIFUL 3000 ROCK ISLAND ROAD P. O. BOX 154 IRVING, TX 75060	75-2580047		500.	0.			PROGRAM SUPPORT
LYCEE FRANCAIS DE LA NOUVELLE ORLEANS - 5951 PATTON ST - NEW ORLEANS, LA 70115	80-0502031		12,000.	0.			PROGRAM SUPPORT
PROJECT PETALS, INC PO BOX 341430 JAMAICA, NY 11434	81-1566426		5,000.	0.			PROGRAM SUPPORT

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MAUI OCEAN CENTER MARINE INSTITUTE 192 MA'ALAEA RD WAILUKI, HI 96793	81-2540684		14,000.	0.			PROGRAM SUPPORT
KEEP DELAWARE BEAUTIFUL 4 CABOT PLACE NEWARK, DE 19711	82-0656889		14,000.	0.			PROGRAM SUPPORT
KEEP THE TENNESSEE RIVER BEAUTIFUL PO BOX 22342 KNOXVILLE, TN 37933	82-2318211		14,000.	0.			PROGRAM SUPPORT
COUNTY OF ADA 200 W FRONT ST BOISE, ID 83702	82-6000277		40,000.	0.			PROGRAM SUPPORT
UTAH CENTER FOR CIVIC IMPROVEMENT 1067 S 1400 W SALT LAKE CITY, UT 84104	83-0803110		10,000.	0.			PROGRAM SUPPORT
PACIFIC BEACH COALITION PO BOX 932 PACIFICA, CA 94044	83-1241456		13,500.	0.			PROGRAM SUPPORT
KEEP CARTER COUNTY BEAUTIFUL 546 E ELK AVE ELIZABETHTON, TN 37643	84-3948459		10,000.	0.			PROGRAM SUPPORT
KEEP WOODLAND PARK BEAUTIFUL 148 ILLINI DRIVE WOODLAND PARK, CO 80863	84-6001740		10,000.	0.			PROGRAM SUPPORT
KEEP PHOENIX BEAUTIFUL 200 WEST WASHINGTON ST, 16TH FLOOR PHOENIX, AZ 85003	86-0456964		29,000.	0.			PROGRAM SUPPORT

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HEALTHY KLAMATH 2701 FOOTHILLS BLVD KLAMATH FALLS, OR 97601	93-0946020		10,000.	0.			PROGRAM SUPPORT
KEEP OAKLAND BEAUTIFUL PO BOX 22015 OAKLAND, CA 94623	94-2750152		4,500.	0.			PROGRAM SUPPORT
I LOVE A CLEAN SAN DIEGO 2508 HISTORIC DECATUR RD. STE. 150 SAN DIEGO, CA 92106	95-2566791		7,000.	0.			PROGRAM SUPPORT
SURFRIDER FOUNDATION PO BOX 73550 SAN CLEMENTE, CA 92673	95-3941826		5,000.	0.			PROGRAM SUPPORT
KEEP ENGLEWOOD BEAUTIFUL 1000 ENGLEWOOD PARKWAY ENGLEWOOD, CO 80110	98-0347000		8,000.	0.			PROGRAM SUPPORT

Schedule I (Form 990)

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance

Part IV Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

PART I, LINE 2:

KAB PRIMARILY MAKES GRANTS TO ITS AFFILIATE ORGANIZATIONS (BUT NOT EXCLUSIVELY). IN ORDER FOR AN AFFILIATE TO BE ELIGIBLE THEY MUST BE DEEMED "IN GOOD STANDING WITH SPECIFIC KAB REGULATIONS." IN MOST INSTANCES, ONCE A GRANT IS DISTRIBUTED, THE RECIPIENT ORGANIZATION NEEDS TO COMPLETE A REPORT TO INDICATE THAT THE PROCEEDS OF THE GRANT WERE SPENT AS INTENDED AND MEASURE CERTAIN OUTCOMES OR METRICS. IF THE GRANT RECIPIENT CANNOT FULFILL THE GRANT AS INTENDED, THEY WILL RETURN THE PROCEEDS BACK TO KAB.

**SCHEDULE J
(Form 990)**

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2020

Open to Public
Inspection

Name of the organization

KEEP AMERICA BEAUTIFUL, INC.

Employer identification number

13-1761633

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|--|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (such as maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?

3 Indicate which, if any, of the following the organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- | | |
|--|---|
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

a Receive a severance payment or change-of-control payment?

b Participate in or receive payment from a supplemental nonqualified retirement plan?

c Participate in or receive payment from an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

a The organization?

b Any related organization?

If "Yes" on line 5a or 5b, describe in Part III.

6 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

a The organization?

b Any related organization?

If "Yes" on line 6a or 6b, describe in Part III.

7 For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

Yes No

1b

2

4a

4b

4c

5a

5b

6a

6b

7

8

9

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2020

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

[illegible]

**SCHEDULE M
(Form 990)**

Noncash Contributions

OMB No. 1545-0047

2020

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

- ▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**
▶ **Attach to Form 990.**
▶ **Go to www.irs.gov/Form990 for instructions and the latest information.**

Name of the organization

KEEP AMERICA BEAUTIFUL, INC.

Employer identification number

13-1761633

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art				
2 Art - Historical treasures				
3 Art - Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities - Publicly traded				
10 Securities - Closely held stock				
11 Securities - Partnership, LLC, or trust interests				
12 Securities - Miscellaneous				
13 Qualified conservation contribution - Historic structures				
14 Qualified conservation contribution - Other				
15 Real estate - Residential				
16 Real estate - Commercial				
17 Real estate - Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other (PROGRAM SUPPL)	X	5,000	464,082.	
26 Other ()				
27 Other ()				
28 Other ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part V, Donee Acknowledgement

29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period?

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

b If "Yes," describe in Part II.

33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.

	Yes	No
30a		X
31		X
32a		X
33		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) 2020

Part II

Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2020

Open to Public
Inspection

Name of the organization

KEEP AMERICA BEAUTIFUL, INC.

Employer identification number

13-1761633

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

BEAUTIFIES THEIR COMMUNITY'S ENVIRONMENT.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

IMPROVE RECYCLING, AND BEAUTIFY AMERICA'S COMMUNITIES. WE BELIEVE

EVERYONE HAS A RIGHT TO LIVE IN A CLEAN, GREEN AND BEAUTIFUL COMMUNITY,

AND SHARES A RESPONSIBILITY TO CONTRIBUTE TO THAT VISION. BEHAVIOR

CHANGE, STEEPED IN EDUCATION, RESEARCH AND BEHAVIORAL SCIENCE, IS THE

CORNERSTONE OF KAB. WE EMPOWER GENERATIONS OF COMMUNITY AND

ENVIRONMENTAL STEWARDS WITH VOLUNTEER PROGRAMS, HANDS-ON EXPERIENCES,

EDUCATIONAL CURRICULA, PRACTICAL ADVICE AND OTHER RESOURCES. THE

ORGANIZATION IS DRIVEN BY THE WORK AND PASSION OF MORE THAN 600 KAB

AFFILIATES, MILLIONS OF VOLUNTEERS, AND THE COLLABORATIVE SUPPORT OF

CORPORATE PARTNERS, SOCIAL AND CIVIC SERVICE ORGANIZATIONS, ACADEMIA,

MUNICIPALITIES, ELECTED OFFICIALS, AND INDIVIDUALS.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

CONTINUE TO IMPLEMENT AND MONITOR THE PROGRAM THOSE REDUCTIONS ARE

SUSTAINED OR EVEN INCREASED OVER TIME. KEEP AMERICA BEAUTIFUL HAS

DISTRIBUTED OVER \$3 MILLION IN GRANT FUNDING TO SUPPORT LOCAL

IMPLEMENTATION OF THE PROGRAM IN MORE THAN 1,800 COMMUNITIES

NATIONWIDE.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:

THE NEEDS OF THE LOCAL COMMUNITY; THAT'S WHY WE WORK WITH LOCAL

LEADERS, BUSINESS AND KEY STAKEHOLDERS TO GAIN KNOWLEDGE ABOUT THE

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) 2020

032211 11-20-20

Name of the organization

KEEP AMERICA BEAUTIFUL, INC.

Employer identification number

13-1761633

COMMUNITY'S NEEDS AND CAREFULLY SELECT AND EXECUTE APPROPRIATE PROJECTS. THE GREAT AMERICAN CLEANUP BEGAN AS A LITTER CLEANUP INITIATIVE DESIGNED TO AESTHETICALLY IMPROVE OUR ENVIRONMENT BY CREATING CLEANER PARKS, STREETSCAPES AND PUBLIC SPACES THROUGH LITTER REMOVAL AND ELIMINATION. TO THIS DAY, COMMUNITY CLEANUPS REMAIN AT THE VERY HEART OF THE CAMPAIGN, AND THE RESULTS ARE REMARKABLE. IN 2020 ALONE, OVER 93 MILLION POUNDS OF LITTER AND RECYCLABLES WERE COLLECTED BY VOLUNTEERS THROUGHOUT THE COUNTRY. OUR COMMUNITY GREENING AND BEAUTIFICATION EFFORTS RANGE FROM NEW TREE PLANTINGS THAT PROVIDE SHADE AND SEQUESTER CARBON TO PLANTING FLOWER GARDENS THAT CREATE VIBRANT GATEWAYS TO SHOPPING AND ENTERTAINMENT DISTRICTS TO CREATING COMMUNITY GARDENS THAT HELP FEED THE HUNGRY AND EDUCATE YOUNG GARDENERS. THROUGH A WIDE ARRAY OF WORKSHOPS, SPECIAL EVENTS AND ON-THE-GROUND SERVICE PROJECTS, LEADERS FROM OUR AFFILIATE NETWORK AND PARTICIPATING ORGANIZATIONS ARE HELPING TO CHANGE ATTITUDES AND BEHAVIORS TOWARD THE ENVIRONMENT BY ENGAGING YOUNG AND OLD ALIKE. KEEP AMERICA BEAUTIFUL STRIVES TO MOTIVATE MORE AMERICANS TO MAKE INFORMED DECISIONS AND TAKE SIMPLE, EVERYDAY ACTIONS TO REDUCE, REUSE AND RECYCLE RIGHT THROUGH THEIR PARTICIPATION IN THE GREAT AMERICAN CLEANUP. THROUGH PROGRAMS SUCH AS THE GREAT AMERICAN CLEANUP, KEEP AMERICA BEAUTIFUL TRANSFORMS PUBLIC PLACES INTO BEAUTIFUL SPACES HELPING TO MAKE COMMUNITIES THAT ARE ENVIRONMENTALLY HEALTHY, SOCIALLY CONNECTED AND ECONOMICALLY SOUND.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

KEEP AMERICA BEAUTIFUL EMPLOYEE ENGAGEMENT SERVICES, DEVELOPS, MANAGES AND EXECUTES TOP-NOTCH CORPORATE VOLUNTEER PROGRAMS, CUSTOMIZING A COMPANY'S VOLUNTEERING NEEDS TO STRATEGICALLY FIT INTO THEIR LARGER EMPLOYEE ENGAGEMENT AND CSR PROGRAMMES.

Name of the organization

KEEP AMERICA BEAUTIFUL, INC.

Employer identification number

13-1761633

EXPENSES \$ 3,874,938. INCLUDING GRANTS OF \$ 324,601. REVENUE \$ 373,136.

FORM 990, PART VI, SECTION B, LINE 10B:

THE LOCAL AFFILIATES ARE SEPARATE NONPROFIT ENTITIES AND/OR AGENCIES OF LOCAL GOVERNMENTS THAT ARE NOT CONTROLLED BY KAB AND THEREFORE KAB DOES NOT HAVE WRITTEN POLICIES AND PROCEDURES IN PLACE TO GOVERN THE ACTIVITIES OF THESE AFFILIATES.

FORM 990, PART VI, SECTION B, LINE 11B:

THE FORM 990 IS REVIEWED BY KAB'S CHIEF OPERATING OFFICER, PRESIDENT, FINANCE DIRECTOR AND ACCOUNTANT PRIOR TO ITS FILING. BEFORE THE PRESIDENT OF KAB SIGNS THE RETURN AND PRIOR TO ITS FILING, THE FORM 990 IS SHARED WITH THE FULL BOARD OF DIRECTORS

FORM 990, PART VI, SECTION B, LINE 12C:

IF ANY CONFLICT WERE TO ARISE, THOSE CONFLICTS WOULD BE REVIEWED WITH THE INTERNAL MANAGEMENT COMMITTEE AND THE BOARD OF DIRECTORS.

FORM 990, PART VI, SECTION B, LINE 15:

THE PROCESS FOR DETERMINING COMPENSATION INCLUDES A RECOMMENDATION THAT IS PROPOSED BY THE PRESIDENT AND THE CHIEF OPERATING OFFICER. THOSE RECOMMENDATIONS ARE REVIEWED BY THE EXECUTIVE BOARD OF THE BOARD OF DIRECTORS AND EITHER APPROVED OR REVISED.

FORM 990, PART VI, SECTION C, LINE 19:

AUDITED FINANCIAL STATEMENTS ARE PROVIDED TO THE PUBLIC AS REQUESTED AND ARE ALSO AVAILABLE ON KAB'S WEBSITE AS WELL AS VIA SPECIFIC NON-PROFIT DATABASES. GOVERNING DOCUMENTS AND THE CONFLICT OF INTEREST POLICY WOULD BE

Name of the organization

KEEP AMERICA BEAUTIFUL, INC.

Employer identification number

13-1761633

AVAILABLE AS REQUESTED.

PART XII, LINE 2C

THE PROCESS HAS NOT CHANGED FROM THE PRIOR YEAR

KEEP AMERICA BEAUTIFUL, INC.
FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2020 AND 2019

KEEP AMERICA BEAUTIFUL, INC.
YEARS ENDED DECEMBER 31, 2020 AND 2019

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INDEPENDENT AUDITOR'S REPORT

To the Board of Directors
Keep America Beautiful, Inc.
Stamford, Connecticut

We have audited the accompanying financial statements of Keep America Beautiful, Inc. (a nonprofit organization), which comprise the statements of financial position as of December 31, 2020 and 2019, and the related statements of activities and changes in net assets, functional expenses, and cash flows for the years then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.



CITRINCOOPERMAN®
Accountants and Advisors

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Keep America Beautiful, Inc. as of December 31, 2020 and 2019, and the changes in its net assets and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

Adoption of New Accounting Pronouncement

As discussed in Note 2 to the financial statements, the Keep America Beautiful, Inc. adopted the amendments in Accounting Standards Codification Topic 606, *Revenue from Contracts with Customers* as of January 1, 2020. Our opinion is not modified with respect to these matters.


CERTIFIED PUBLIC ACCOUNTANTS

Braintree, Massachusetts
May 7, 2021

KEEP AMERICA BEAUTIFUL, INC.
STATEMENTS OF FINANCIAL POSITION
DECEMBER 31, 2020 AND 2019

ASSETS

	<u>2020</u>	<u>2019</u>
Cash and cash equivalents	\$ 2,710,112	\$ 3,236,560
Gift cards	204,714	334,914
Contributions receivable, net	425,644	1,491,542
Prepaid expenses and other assets	31,799	63,321
Investments	4,012,407	3,410,830
Property and equipment, net	<u>23,952</u>	<u>53,638</u>
TOTAL ASSETS	<u>\$ 7,408,628</u>	<u>\$ 8,590,805</u>

LIABILITIES AND NET ASSETS

Liabilities:

Accounts payable and accrued expenses	\$ 338,482	\$ 544,860
Refundable advances	<u>10,000</u>	<u>50,000</u>
Total liabilities	<u>348,482</u>	<u>594,860</u>

Net assets:

Without donor restrictions	3,337,501	4,722,559
With donor restrictions	<u>3,722,645</u>	<u>3,273,386</u>
Total net assets	<u>7,060,146</u>	<u>7,995,945</u>

TOTAL LIABILITIES AND NET ASSETS	<u>\$ 7,408,628</u>	<u>\$ 8,590,805</u>
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See accompanying notes to financial statements.

KEEP AMERICA BEAUTIFUL, INC.
STATEMENTS OF ACTIVITIES AND CHANGES IN NET ASSETS
YEARS ENDED DECEMBER 31, 2020 AND 2019

	2020			2019		
	Without Donor Restrictions	With Donor Restrictions	Total	Without Donor Restrictions	With Donor Restrictions	Total
Revenues and support:						
Contributions and grants	\$ 1,521,504	\$ 4,024,465	\$ 5,545,969	\$ 3,449,870	\$ 3,458,300	\$ 6,908,170
In-kind contributions	6,745,430	-	6,745,430	13,908,195	-	13,908,195
Benefit dinner, net of direct benefits to donors	219,339	-	219,339	352,653	-	352,653
Conferences and fees	373,936	-	373,936	376,400	-	376,400
Investment income, net	26,577	-	26,577	42,872	-	42,872
Realized and unrealized gains on investments	579,620	-	579,620	634,299	-	634,299
Net assets released from donor restrictions	3,575,206	(3,575,206)	-	3,304,387	(3,304,387)	-
Total revenues and support	13,041,612	449,259	13,490,871	22,068,676	153,913	22,222,589
Expenses:						
Program	11,674,402	-	11,674,402	17,861,213	-	17,861,213
Management and general	1,793,306	-	1,793,306	1,897,069	-	1,897,069
Fundraising	958,962	-	958,962	1,079,589	-	1,079,589
Total expenses	14,426,670	-	14,426,670	20,837,871	-	20,837,871
Changes in net assets	(1,385,058)	449,259	(935,799)	1,230,805	153,913	1,384,718
Net assets, beginning	4,722,559	3,273,386	7,995,945	3,491,754	3,119,473	6,611,227
NET ASSETS, ENDING	\$ 3,337,501	\$ 3,722,645	\$ 7,060,146	\$ 4,722,559	\$ 3,273,386	\$ 7,995,945

See accompanying notes to financial statements.

KEEP AMERICA BEAUTIFUL, INC.
STATEMENT OF FUNCTIONAL EXPENSES
YEAR ENDED DECEMBER 31, 2020

	Program	Management and General	Fundraising	Direct Benefits to Donors	Total Expenses
Donated media	\$ 5,909,339	\$ -	\$ -	-	\$ 5,909,339
Employee compensation and related	1,349,150	1,061,285	706,869	-	3,117,304
Event supplies, production and support	1,256,833	5,768	46,018	37,621	1,346,240
Professional and consulting	908,989	315,326	100,396	238	1,324,949
Marketing and communications	1,100,096	6,388	-	-	1,106,484
Affiliate and other grants	844,751	-	-	-	844,751
Occupancy	124,232	76,653	67,005	129	268,019
Office and related	94,603	82,779	15,443	2,468	195,293
Technology	35,700	100,440	6,627	6,250	149,017
Travel and conferences	50,709	12,070	16,604	-	79,383
Bad debt	-	55,015	-	-	55,015
Insurance	-	47,896	-	-	47,896
Depreciation	-	29,686	-	-	29,686
	<u>11,674,402</u>	<u>1,793,306</u>	<u>958,962</u>	<u>46,706</u>	<u>14,473,376</u>
Less expenses included with revenues on the statement of activities and changes in net assets	-	-	-	(46,706)	(46,706)
TOTAL EXPENSES	<u>\$ 11,674,402</u>	<u>\$ 1,793,306</u>	<u>\$ 958,962</u>	<u>\$ -</u>	<u>\$ 14,426,670</u>

See accompanying notes to financial statements.

KEEP AMERICA BEAUTIFUL, INC.
STATEMENT OF FUNCTIONAL EXPENSES
YEAR ENDED DECEMBER 31, 2019

	Program	Management and General	Fundraising	Direct Benefits to Donors	Total Expenses
Donated media	\$ 13,014,227	\$ -	\$ -	\$ -	\$ 13,014,227
Employee compensation and related	1,557,049	857,682	722,348	-	3,137,079
Event supplies, production and support	1,428,244	57,332	134,126	157,388	1,777,090
Affiliate and other grants	1,026,906	-	-	-	1,026,906
Professional and consulting	248,040	610,364	64,956	655	924,015
Occupancy	123,520	76,135	66,552	-	266,207
Marketing and communications	193,408	42,283	6,307	-	241,998
Travel and conferences	131,874	23,055	47,021	491	202,441
Office and related	108,545	39,067	38,279	882	186,773
Technology	29,400	97,562	-	-	126,962
Insurance	-	61,706	-	-	61,706
Depreciation	-	31,883	-	-	31,883
	<u>17,861,213</u>	<u>1,897,069</u>	<u>1,079,589</u>	<u>159,416</u>	<u>20,997,287</u>
Less expenses included with revenues on the statement of activities and changes in net assets	<u>-</u>	<u>-</u>	<u>-</u>	<u>(159,416)</u>	<u>(159,416)</u>
TOTAL EXPENSES	<u>\$ 17,861,213</u>	<u>\$ 1,897,069</u>	<u>\$ 1,079,589</u>	<u>\$ -</u>	<u>\$ 20,837,871</u>

See accompanying notes to financial statements.

KEEP AMERICA BEAUTIFUL, INC.
STATEMENTS OF CASH FLOWS
YEARS ENDED DECEMBER 31, 2020 AND 2019

	<u>2020</u>	<u>2019</u>
Cash flows used in operating activities:		
Changes in net assets	\$ (935,799)	\$ 1,384,718
Adjustments to reconcile changes in net assets to net cash used in operating activities:		
Forgiveness of paycheck protection program loan	(513,972)	-
Depreciation	29,686	31,883
Bad debt expense	55,015	-
Realized and unrealized gains on investments	(579,620)	(634,299)
Changes in operating assets and liabilities:		
Gift cards	130,200	(334,914)
Contributions receivable, net	1,010,883	(1,199,108)
Prepaid expenses and other assets	31,522	48,342
Accounts payable and accrued expenses	(206,378)	101,676
Refundable advances	<u>(40,000)</u>	<u>(302,972)</u>
Net cash used in operating activities	<u>(1,018,463)</u>	<u>(904,674)</u>
Cash flows from investing activities:		
Purchases of investments	(48,516)	(1,621,470)
Proceeds from sales of investments	26,559	3,046,158
Acquisition of property and equipment	<u>-</u>	<u>(71,662)</u>
Net cash provided by (used in) investing activities	<u>(21,957)</u>	<u>1,353,026</u>
Cash flows from financing activities:		
Proceeds from paycheck protection program loan	<u>513,972</u>	<u>-</u>
Net cash provided by financing activities	<u>513,972</u>	<u>-</u>
Net increase (decrease) in cash and cash equivalents	(526,448)	448,352
Cash and cash equivalents - beginning	<u>3,236,560</u>	<u>2,788,208</u>
CASH AND CASH EQUIVALENTS - ENDING	<u>\$ 2,710,112</u>	<u>\$ 3,236,560</u>

See accompanying notes to financial statements.

KEEP AMERICA BEAUTIFUL, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2020 AND 2019

NOTE 1. ORGANIZATION

Keep America Beautiful, Inc. (the "Organization" or "KAB") is a nonprofit organization whose network of local, statewide, and international affiliate programs educates individuals about litter prevention and ways to reduce, reuse, recycle, and properly manage waste materials. KAB's mission is to engage individuals in taking greater responsibility for improving their community environments. Through partnerships and strategic alliances with citizens, businesses, and government, KAB programs involve millions of volunteers annually to clean up, beautify, and improve their neighborhoods, thereby creating healthier, safer, and more livable community environments.

The local affiliates are separate nonprofit entities and/or agencies of local governments that are not controlled by KAB and, therefore, their financial position, changes in net assets, and cash flows are not included in the accompanying financial statements.

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation

The financial statements of the Organization have been prepared on the accrual basis. The significant accounting policies followed are described below to enhance the usefulness of the financial statements to the reader.

Net Assets

The Organization follows the Financial Accounting Standards Board ("FASB") Accounting Standards Codification ("ASC") Subtopic *Presentation of Financial Statements of Not-for-Profit Entities*. Net assets, revenues, expenses, gains and losses are classified based on the existence or absence of donor-imposed restrictions. Accordingly, net assets and changes therein are classified as follows:

Net assets without donor restrictions - Net assets that are not subject to donor-imposed restrictions and may be expended for any purpose in performing the primary objectives of the Organization. These net assets may be used at the discretion of the Organization's management and the board of directors.

Net assets with donor restrictions - Net assets subject to stipulations imposed by donors, and grantors. Some donor restrictions are temporary in nature; those restrictions will be met by actions of the Organization or by passage of time. Other donor restrictions are perpetual in nature, whereby the donor has stipulated the funds be maintained in perpetuity. The Organization did not have any net assets with donor restrictions that are perpetual in nature at December 31, 2020 and 2019.

Use of Estimates

The preparation of the financial statements in conformity with accounting principles generally accepted in the United States of America ("U.S. GAAP") requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from those estimates.

KEEP AMERICA BEAUTIFUL, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2020 AND 2019

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Use of Estimates (continued)

Significant estimates for the years ended December 31, 2020 and 2019 include the valuation of in-kind contributions (Note 11). In-kind contributions and expenses include donated media, which is recognized in the amount of \$5,909,339 and \$13,014,227, respectively, in the accompanying statements of activities and changes in net assets and statements of functional expenses for the years ended December 31, 2020 and 2019, respectively. Management uses third party valuations and applies a discount to these values based on various factors and perceived value of the services received.

Concentration of Credit Risk

Cash and cash equivalents include cash on hand, demand deposits, and highly liquid investments with original maturities of three months or less. At times, deposits of cash may exceed federally insured limits. The Organization has not experienced any losses in such accounts, and management believes it is not exposed to any significant credit risk on cash and cash equivalents.

Contributions Receivable

Contributions receivable are stated at the amount management expects to collect from outstanding balances. Management provides for probable uncollectible amounts through a charge to earnings and a credit to a valuation allowance based on its assessment of probable uncollectible amounts. Balances that are outstanding after management has used reasonable collection efforts are written off through a charge to the valuation allowance and a credit to contributions and grants receivable. At December 31, 2020, the allowance for uncollectible accounts totaled \$55,000. There was no allowance for doubtful accounts at December 31, 2019 as all contributions receivable amounts were deemed collectable. All contributions receivable were considered current and due within one year at both December 31, 2020 and 2019.

Investments

Investments in marketable securities with readily determinable fair values are reported at their fair values based on quoted prices in active markets in the statements of financial position. Investment income and investment gains and losses are reported as increases in net assets without donor restrictions unless their use is restricted by explicit donor stipulations or law.

Fair Value Measurement

The Organization follows the provisions of the *Fair Value Measurements* Topic of the FASB ASC. This Topic clarifies that fair value is an exit price representing the amount that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants. This Topic establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The hierarchy gives the highest priority to unadjusted quoted prices in active markets for identical assets or liabilities and the lowest priority to unobservable inputs. Determining where an asset or liability falls within that hierarchy depends on the lowest level input that is significant to the fair value measurement as a whole. The three levels of the fair value hierarchy are described below.

KEEP AMERICA BEAUTIFUL, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2020 AND 2019

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Fair Value Measurement (continued)

- Level 1** - Quoted market prices that are available in active markets for identical assets or liabilities. The types of financial instruments included in Level 1 are marketable equity securities that are traded in an active exchange market.
- Level 2** - Pricing inputs other than quoted prices in active markets, such as quoted prices for similar assets or liabilities; quoted prices in markets that are not active; or other inputs that are observable or can be corroborated by observable market data for substantially the full term of the assets or liabilities.
- Level 3** - Unobservable inputs that are supported by little or no market activity and that are significant to the fair value of the assets or liabilities. Level 3 includes assets and liabilities whose value is determined using pricing models, discounted cash flow methodologies, or similar techniques, as well as instruments for which the determination of fair value requires significant management judgment or estimation.

The following is a description of the valuation methodologies used for asset investments measured at fair value, as well as the general classification pursuant to the valuation hierarchy. There have been no changes in the methodologies used at December 31, 2020 and 2019.

- *Money market funds, fixed income bond funds, mutual funds, and common stock* have been reported in the financial statements at fair value. The fair value of these securities is based upon quoted prices from an active market and are therefore categorized in level 1.

The preceding methods described may produce a fair value calculation that may not be indicative of net realizable value or reflective of future fair values. Furthermore, although the Organization believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of the certain financial instruments could result in a different fair value measurement at the reporting date.

Property and Equipment

Property and equipment are recorded at cost or, if donated, at fair value, on the date of the contribution. Expenditures for maintenance and repairs are expensed as incurred. Repairs which materially extend the life of the assets are capitalized. Depreciation of property and equipment is computed on a straight-line basis over the estimated useful lives of three to five years.

KEEP AMERICA BEAUTIFUL, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2020 AND 2019

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Valuation of Long-Lived Assets

The Organization accounts for the valuation of long-lived assets in accordance with the FASB ASC Topic *Property, Plant and Equipment*. This Topic requires that long-lived assets be reviewed for impairment whenever events or changes in circumstances indicate that the carrying amount of an asset may not be recoverable. Recoverability of the long-lived asset is measured by a comparison of the carrying amount of the asset to future undiscounted net cash flows expected to be generated by the asset. If such assets are considered to be impaired, the impairment to be recognized is measured by the amount by which the carrying amount of the assets exceeds the estimated fair value of the assets. Assets to be disposed of are reportable at the lower of the carrying amount or fair value, less costs to sell. At December 31, 2020 and 2019, the Organization has determined that no long-lived assets are impaired.

Refundable Advances

Refundable advances as of December 31, 2020 and 2019 represents advances from sponsors earmarked specifically and conditionally upon programs to be held during a future period.

Revenue Recognition

Revenue is reported as increases in net assets without donor restrictions unless use of the related assets is limited by donor-imposed restrictions. Expenses are reported as decreases in net assets without donor restrictions. Gains and losses on assets or liabilities are reported as increases or decreases in net assets without donor restrictions unless their use is restricted by explicit donor stipulations or by law. Expirations of net assets with donor restrictions (i.e., the donor-stipulated purpose has been fulfilled and/or the stipulated time period has elapsed) are reported as net assets released from donor restrictions. Contributions received with donor-imposed restrictions that are met in the same year as received are reported as revenues without donor restrictions.

Year Ended December 31, 2020

The Organization adopted ASC Topic 606, *Revenue from Contracts with Customers* ("Topic 606") on January 1, 2020. With the adoption of Topic 606, revenue is measured based on the consideration specified in a contract with a customer, and excludes any amounts collected on behalf of third parties. Under Topic 606, the Organization recognizes revenue when it satisfies a performance obligation by transferring control over a product or service to a customer. The majority of the Organization's services represent a bundle of services that are not capable of being distinct and as such, are treated as a single performance obligation that is satisfied as the services are rendered. The Organization determines the transaction price based on contractually agreed upon rates, adjusted for any variable consideration, if any.

Fees and publication sales and conferences are offered by the Organization throughout the course of the year. Revenues from fees are recognized over the calendar year. Publication sales are recorded at the time of sale whereas conference revenue is recorded at the time the conference is held.

KEEP AMERICA BEAUTIFUL, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2020 AND 2019

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Revenue Recognition (continued)

Year Ended December 31, 2019

For the year ended December 31, 2019, the Organization recognized revenue when (1) the service was performed and the Organization had no significant obligations remaining to be performed; (2) a final understanding as to specific nature and terms of the agreed upon transaction had occurred; (3) price was fixed and determinable; and (4) collection was assured. Services generally met these criteria, and revenue was recognized, when services were rendered.

Contributions

Contributions, including unconditional promises to give, are recognized as revenues in the period the promise is received. Conditional promises to give are not recognized until they become unconditional, that is, at the time when the conditions on which they depend are substantially met. Contributions of assets other than cash are reported at their estimated fair value. Contributions to be received after one year are discounted at an appropriate discount rate commensurate with the risk involved. Amortization of the discount is recorded as additional contribution revenue in accordance with donor-imposed restrictions, if any, on the contributions. All contributions receivable at December 31, 2020 and 2019 were due within one year, therefore no discount was recorded.

Contributions of land, buildings, and equipment without donor stipulations concerning the use of such long-lived assets are reported as revenues without donor restrictions. Contributions of cash or other assets to be used to acquire land, buildings and equipment with donor stipulations are reported as revenues with donor restrictions; the restrictions are considered to be released at the time the long-lived assets are placed in service.

Contributions of services are reported as revenues and expenses without donor restrictions at the fair value of the services received only if the services create or enhance a non-financial asset or would typically need to be purchased by the Organization if they had not been provided by individuals with those skills. Contributions of goods are reported at fair value as revenues and expenses without donor restrictions at the time the goods are received (Note 11).

Disaggregation of Revenue

The Organization operates its programs from Stamford, Connecticut but coordinates and hosts events and programs across the country. The Organization's viability is dependent on the strength of the economy in the United States and its ability to generate revenues from donors, fundraising events and other sources, and its ability to collect these revenues.

KEEP AMERICA BEAUTIFUL, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2020 AND 2019

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Functional Expenses

The costs of providing various program and other activities have been summarized on a functional basis in the accompanying financial statements. Accordingly, certain costs have been allocated among the programs and supporting services benefited on a reasonable basis that is consistently applied. Employee compensation and related expenses are allocated on the basis of time and effort. Occupancy expense is allocated on a square footage basis. Other expenses are allocated based on actual usage or allocated based on time and effort, depending on the nature of the expense.

Income Taxes

The Organization is exempt from federal and state income taxes under Section 501(c)(3) of the Internal Revenue Code ("IRC") and accordingly, no provision for income taxes has been recorded in the accompanying financial statements. The Organization is subject to federal and state income taxes on unrelated business income, if any. The Organization files informational tax returns as required by the IRC.

The Organization accounts for uncertain tax positions in accordance with FASB ASC Topic *Income Taxes*. This Topic prescribes a recognition threshold and measurement process for financial statement recognition of uncertain tax positions taken or expected to be taken in a tax return. This Topic also provides guidance on recognition, derecognition, classification, interest and penalties, accounting in interim periods, disclosure and transition. At December 31, 2020 and 2019, management believes that the Organization has no material uncertain tax positions.

Recently Implemented Accounting Pronouncements

Revenue from Contracts with Customers - In May 2014, the FASB issued Accounting Standards Update ("ASU") No. 2014-09, *Revenue from Contracts with Customers* ("Topic 606"), with several clarifying updates issued subsequently. In conjunction with Topic 606, a new subtopic, ASC 340-40, *Other Assets and Deferred Costs – Contracts with Customers*, was also issued. The updated standard replaces most existing revenue recognition and certain cost guidance under U.S. GAAP. Collectively, the Organization refers to Topic 606 and Subtopic 340-40 as "ASC 606". ASC 606 amends existing accounting standards for revenue recognition and establishes principles for recognizing revenue upon the transfer of promised goods or services to customers based on the expected consideration to be received in exchange for those goods and services. The Organization adopted ASC 606 effective January 1, 2020 using the modified retrospective approach. Use of the modified retrospective approach means the Organization's comparative periods prior to initial application are not restated. The Organization has determined that the adjustments using the modified retrospective approach did not have a material impact on the date of the initial application along with the disclosure of the effect on prior periods. The Organization applied the portfolio approach in implementing ASC 606.

KEEP AMERICA BEAUTIFUL, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2020 AND 2019

NOTE 2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Recently Issued But Not Yet Effective Accounting Pronouncements

In-kind Contributions - In September 2020, FASB issued ASU No. 2020-07, *Not-for-Profit Entities* (Topic 958): *Presentation and Disclosures by Not-for-Profit Entities for Contributed Nonfinancial Assets* ("ASU 2020-07"), which is intended to increase the transparency of contributed nonfinancial assets for not-for-profit entities through enhancements to presentation and disclosure. This ASU is effective for annual periods beginning after June 15, 2021, with early adoption permitted. KAB is evaluating the effect that ASU 2020-07 will have on its financial statements and related disclosures.

Leases - In February 2016, the FASB issued ASU No. 2016-02, *Leases* ("ASU 2016-02"). This update requires all leases with a term greater than 12 months to be recognized on the statement of position through a right-of-use asset and a lease liability and enhanced disclosures regarding the amount, timing, and uncertainty of cash flows arising from leases. In July 2018, the FASB issued ASU No. 2018-10, *Codification Improvements to Topic 842* and ASU No. 2018-11, *Leases: Targeted Improvements* which provided narrow amendments to clarify how to apply certain aspects of the new leases standard and options regarding transition. The standard requires either a modified retrospective transition approach with application in all comparative periods presented, or an alternative transition method, which permits the Organization to use its effective date as the date of initial application without restating the comparative period financial statements and recognizing any cumulative effect adjustment to the opening statement of financial position. In June 2020, the FASB issued ASU No. 2020-05 *Revenue from Contracts with Customers* (Topic 606) and *Leases* (Topic 842). ASU 2020-05 amended the effective date for ASU 2016-02 and related amendments. ASU 2016-02, as amended, is effective for fiscal years beginning after December 15, 2021. The Organization is evaluating the effect that ASU 2016-02 will have on its financial statements and related disclosures.

Reclassifications

Certain amounts included in the 2019 financial statements have been reclassified to conform to the 2020 presentation. These reclassification adjustments had no effect on previously reported net assets or changes in net assets.

Subsequent Events

The Organization has evaluated all events subsequent to the statement of financial position date of December 31, 2020, through the date which the financial statements were available to be issued, May 7, 2021, and has determined that there are no subsequent events that require disclosure under FASB ASC Topic *Subsequent Events* other than as discussed in Note 13.

KEEP AMERICA BEAUTIFUL, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2020 AND 2019

NOTE 3. AVAILABILITY AND LIQUIDITY

The following reflects the Organization's financial assets as of December 31, 2020, reduced by amounts that are not available for general use within one year of the statement of financial position date because of donor-imposed restrictions that may not be released within one year through the normal course of business and board designations. As part of its liquidity management plan, the Organization operates its programs within a balanced budget and relies on grants and contributions, with and without donor restrictions, to fund its operations and program activities. Cash is maintained in checking and savings accounts and is readily available for use. Investments are available for liquidation upon approval by the board of directors.

Cash	\$ 2,710,112
Contributions receivable, net	425,644
Investments	<u>4,012,407</u>
Total financial assets	7,148,163
Less amounts not available to be used within one year:	
Board designated net assets	(4,012,407)
Donor restricted contributions	<u>(3,722,645)</u>
Subtotal	(586,889)
Donor restricted net assets expected to be released within one year through conducting the normal activities of the Organization	<u>2,432,258</u>
Financial assets available to meet cash needs for general expenditures within one year	<u>\$ 1,845,369</u>

NOTE 4. PROPERTY AND EQUIPMENT

Property and equipment consist of the following at December 31:

	<u>2020</u>	<u>2019</u>
Digital assets	\$ 261,073	\$ 261,073
Property and equipment	<u>51,693</u>	<u>54,490</u>
	312,766	315,563
Less accumulated depreciation	<u>(288,814)</u>	<u>(261,925)</u>
	<u>\$ 23,952</u>	<u>\$ 53,638</u>

Depreciation expense for the years ended December 31, 2020 and 2019 totaled \$29,686 and \$31,883, respectively.

KEEP AMERICA BEAUTIFUL, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2020 AND 2019

NOTE 5. INVESTMENTS

The Organization's board of directors designated the Organization's investments as the KAB Sustainability Fund (the "Fund") to help ensure the long-term continuity of the Organization and its future ability to carry out its charitable mission. The Fund is administered by the executive committee of the board of directors in accordance with the board of director's adopted policies. As the Fund is board-designated, the balance of the investment account is included in net assets without donor restrictions. All interest and dividend earnings are reinvested into the Fund as they are earned. The Organization's total investment balance of \$4,012,407 and \$3,410,830 was held in the Fund at December 31, 2020 and 2019, respectively.

Investments are stated at fair value and are summarized as follows at December 31:

	<u>2020</u>	<u>2019</u>
Cash and money market funds	\$ 856,829	\$ 509,210
Fixed income bond funds	504,397	693,972
Mutual funds	58,360	258,080
Common stock	<u>2,592,821</u>	<u>1,949,568</u>
	<u>\$ 4,012,407</u>	<u>\$ 3,410,830</u>

The following schedule summarizes the investment return which is reported as investment income in the accompanying statements of activities and changes in net assets as of December 31:

	<u>2020</u>	<u>2019</u>
Investment income	\$ 53,136	\$ 70,339
Investment fees	<u>(26,559)</u>	<u>(27,467)</u>
	<u>\$ 26,577</u>	<u>\$ 42,872</u>

The following schedule summarizes realized and unrealized gains recognized on investments held at December 31:

	<u>2020</u>	<u>2019</u>
Net gains recognized during the year on equity securities	\$ 579,620	\$ 634,299
Less: net gains recognized during the year on equity securities sold during the period	<u>98,181</u>	<u>190,341</u>
Unrealized gain recognized during the year on equities still held at December 31	<u>\$ 481,439</u>	<u>\$ 443,958</u>

KEEP AMERICA BEAUTIFUL, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2020 AND 2019

NOTE 6. FAIR VALUE MEASUREMENT

The following fair value hierarchy table represents information about the Organization's assets measured at fair value on a recurring basis as of December 31, 2020:

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Fair Value</u>
Cash and money market	\$ 856,829	\$ -	\$ -	\$ 856,829
Fixed income bond funds	504,397	-	-	504,397
Mutual funds:				
Foreign large-blend	58,360	-	-	58,360
Common stock:				
Consumer goods	671,152	-	-	671,152
Healthcare	541,878	-	-	541,878
Technology	466,484	-	-	466,484
Financial services	303,782	-	-	303,782
Industrials	233,250	-	-	233,250
Communication services	220,954	-	-	220,954
Real estate	79,595	-	-	79,595
Basic materials	75,726	-	-	75,726
Total common stock	2,592,821	-	-	2,592,821
Total	\$ 4,012,407	\$ -	\$ -	\$ 4,012,407

The following fair value hierarchy table represents information about the Organization's assets measured at fair value on a recurring basis as of December 31, 2019:

	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Fair Value</u>
Cash and money market	\$ 509,210	\$ -	\$ -	\$ 509,210
Fixed income bond funds	693,972	-	-	693,972
Mutual funds:				
Foreign large-blend	65,240	-	-	65,240
Large and mid-cap blend	159,712	-	-	159,712
Small-cap	33,128	-	-	33,128
Total mutual funds	258,080	-	-	258,080
Common stock:				
Healthcare	320,768	-	-	320,768
Consumer goods	493,593	-	-	493,593
Industrials	274,718	-	-	274,718
Technology	267,400	-	-	267,400
Communication services	173,080	-	-	173,080
Financial services	168,195	-	-	168,195
Basic materials	126,295	-	-	126,295
Real estate	71,075	-	-	71,075
Energy	54,444	-	-	54,444
Total common stock	1,949,568	-	-	1,949,568
Total	\$ 3,410,830	\$ -	\$ -	\$ 3,410,830

KEEP AMERICA BEAUTIFUL, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2020 AND 2019

NOTE 7. PAYCHECK PROTECTION PROGRAM

On April 14, 2020, the Organization received loan proceeds of \$513,972 under the Paycheck Protection Program ("PPP"). The PPP, which was established as part of the Coronavirus Aid, Relief and Economic Security Act ("CARES Act"), provides for loans to qualifying businesses for amounts up to 2.5 times certain average monthly payroll expenses of the qualifying business. The loan and accrued interest, or a portion thereof, may be forgiven after 24 weeks so long as the borrower uses the loan proceeds for eligible purposes including payroll, benefits, rent, mortgage interest and utilities, and maintains its payroll levels. At least 60% of the loan proceeds must be spent on payroll costs, as defined by the PPP for the loan forgiveness.

The PPP loan matures two years from the date of first disbursement of proceeds to the Organization (the "PPP Loan Date") and accrues interest at a fixed rate of 1%. Payments are deferred for at least the first ten months and payable in equal consecutive monthly installments of principal and interest commencing upon expiration of the deferral period of the PPP Loan Date.

U.S. GAAP does not contain authoritative accounting standards for forgivable loans provided by governmental entities. Absent authoritative accounting standards, interpretative guidance issued and commonly applied by financial statement preparers allow for the selection of accounting policies amongst acceptable alternatives. Based on the facts and circumstances, the Organization determined it most appropriate to account for the PPP loan proceeds under the conditional contribution model within ASC 958-605. Under the conditional contribution model, the Organization recognizes the proceeds received as a refundable advance, and subsequently recognizes grant revenue as the conditions are met. Conditions are deemed to be met as the allowable expenses are incurred. The Organization deemed the conditional contribution model to be the most appropriate accounting policy for this arrangement based on the nature of the PPP loan program. The Organization recognized \$513,972 of grant revenue under the PPP loan program during the year ended December 31, 2020, which is included in contributions and grants on the statements of activities and changes in net assets. There is no remaining balance from the loan that is recorded as a refundable advance.

The Organization currently intends to use the proceeds for purposes consistent with the PPP, however, there can be no assurances that the Organization will ultimately meet the conditions for forgiveness of the loan or that the Organization will not take actions that cause the Organization to be ineligible for forgiveness of the loan, in whole or in part. If not forgiven, principal loan payments of \$311,667 and \$202,305 are due during the years ended December 31, 2021 and 2022, respectively.

KEEP AMERICA BEAUTIFUL, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2020 AND 2019

NOTE 8. NET ASSETS

Net assets with donor restrictions were as follows for the years ended December 31:

	<u>2020</u>	<u>2019</u>
Great American Clean-Up	\$ 1,386,379	\$ 175,000
Cigarette litter prevention	1,045,879	1,248,300
Recycling projects	584,570	788,771
Brewery partnership project	275,000	-
Employee engagement	155,000	155,000
Other programs	149,411	89,198
Affiliate development	63,167	70,000
Keep Newark Beautiful	48,842	-
RETREET (Note 13)	14,397	-
Grants	-	485,000
Litter research	-	209,617
National conference	-	52,500
Total	<u>\$ 3,722,645</u>	<u>\$ 3,273,386</u>

Net assets released from donor restrictions are as follows for the years ended December 31:

	<u>2020</u>	<u>2019</u>
Satisfaction of purpose restrictions:		
Recycling projects	\$ 1,149,201	\$ 837,742
Cigarette litter prevention	751,095	1,359,876
Litter research	592,157	-
Grants	485,000	-
Great American Clean-Up	301,621	-
Other programs	78,007	826,442
RETREET (Note 13)	57,604	-
Keep Newark Beautiful	51,188	-
National conference	52,500	90,000
Vision	50,000	-
Affiliate development	6,833	160,327
Employee engagement	-	30,000
Total	<u>\$ 3,575,206</u>	<u>\$ 3,304,387</u>

NOTE 9. LEASES

Occupancy

During 2019, the Organization amended its previous lease agreement and entered into a 10-year lease for new space in the same building for their Stamford, Connecticut headquarters. The amended lease is effective through 2030. The space was provided rent-free for six months, with monthly rental payments beginning at \$19,959 starting in 2019. The monthly payments increase annually through the end of the lease. Rent expense is recognized on the straight-line basis and expenses related to this lease were approximately \$239,500 per year for the years ended December 31, 2020 and 2019.

KEEP AMERICA BEAUTIFUL, INC.
NOTES TO FINANCIAL STATEMENTS
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NOTE 9. LEASES (CONTINUED)

Office Equipment

The Organization has multiple operating leases for office equipment requiring monthly payments ranging from \$600 to \$950 with expiration dates ranging from November 2021 through March 2022, along with various other equipment leases on an at-will basis. Total rent expense under these lease agreements for the years ended December 31, 2020 and 2019 were \$20,788 and \$26,447, respectively.

Required future minimum lease payments are as follows:

<u>Year</u>	<u>Amount</u>
2021	\$ 248,513
2022	242,763
2023	245,313
2024	249,063
2025	252,813
Thereafter	<u>1,161,250</u>
	<u>\$ 2,399,715</u>

NOTE 10. EMPLOYEE BENEFIT PLANS

The Organization has a qualified 403(b) defined contribution pension plan for all employees. Participants may make voluntary contributions to the plan, not to exceed the limitations prescribed by the IRC. Under the plan, the Organization makes semi-monthly elections to match a portion of employees' contribution up to 5%. The Organization's contribution to the plan was \$140,504 and \$74,967, respectively, to the plan for the years ended December 31, 2020 and 2019.

NOTE 11. IN-KIND CONTRIBUTIONS

The Organization received in-kind contributions totaling \$6,745,430 and \$13,908,195, respectively, for the years ended December 31, 2020 and 2019. The value of donated goods and services for the years ended December 31, 2020 and 2019 recognized in the accompanying financial statements is as follows:

	<u>2020</u>	<u>2019</u>
Revenue:		
Donated media	\$ 5,909,339	\$ 13,014,227
Program supplies and equipment	464,082	366,308
Marketing	341,309	-
Conference fees	30,700	-
Gift cards	-	490,160
Professional and consulting services	<u>-</u>	<u>37,500</u>
Total in-kind revenue reported	<u>\$ 6,745,430</u>	<u>\$ 13,908,195</u>

KEEP AMERICA BEAUTIFUL, INC.
NOTES TO FINANCIAL STATEMENTS
YEARS ENDED DECEMBER 31, 2020 AND 2019

NOTE 11. IN-KIND CONTRIBUTIONS (CONTINUED)

	<u>2020</u>	<u>2019</u>
Expenses:		
Donated media	\$ 5,909,339	\$ 13,014,227
Event supplies, production and support	464,082	521,554
Marketing	341,309	-
Conference fees	30,700	-
Professional and consulting services	-	37,500
Total in-kind expenses reported	<u>6,745,430</u>	<u>13,573,281</u>
Assets reported:		
Gift cards	-	334,914
Total in-kind assets reported	<u>-</u>	<u>334,914</u>
Total in-kind expenses and assets reported	<u>\$ 6,745,430</u>	<u>\$ 13,908,195</u>

NOTE 12. UNCERTAINTIES, COMMITMENTS, AND CONTINGENCIES

COVID-19

In March of 2020, the World Health Organization declared COVID-19 to constitute a "Public Health Emergency of International Concern". The Organization remained open during the pandemic having employees work remotely and taking the necessary sanitation and social distancing protocols to operate programs safely within state and local COVID-19 guidelines. The Organization held certain events virtually and postponed other events as a result of the pandemic. Due to the uncertainty of the situation, long-term operational disruption and related financial impacts, if any, cannot be reasonably estimated at this time.

NOTE 13. SUBSEQUENT EVENTS

Paycheck Protection Program Second Draw Loan

During February 2021, the Organization received loan proceeds of \$513,972 under the Paycheck Protection Program Second Draw Loans ("PPP SD"). The second round of PPP funding, which was established as part of the Consolidated Appropriations Act, provides loans to qualifying businesses for amounts up to 2.5 times certain average monthly payroll expenses of the qualifying business. The loan and accrued interest, or a portion thereof, may be forgiven after twenty-four weeks so long as the borrower uses the loan proceeds for eligible purposes including payroll, benefits, rent, mortgage interest and utilities, and maintains its payroll levels. Not more than 40% of the amount forgiven can be attributable to nonpayroll costs.

The PPP SD loan matures five years from the date of first disbursement of proceeds to the Organization (the "PPP SD Loan Date") and accrues interest at a fixed rate of 1%. Payments are deferred for the covered period plus ten months and payable in fifty (50) equal consecutive monthly installments of principal and interest commencing on the eleventh month anniversary of the end of the covered period.

KEEP AMERICA BEAUTIFUL, INC.
NOTES TO FINANCIAL STATEMENTS
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NOTE 13. SUBSEQUENT EVENTS (CONTINUED)

Paycheck Protection Program Second Draw Loan (continued)

The Organization currently intends to use the proceeds for purposes consistent with the PPP SD, however, there can be no assurances that the Organization will ultimately meet the conditions for forgiveness of the loan or that management will not take actions that could cause the Organization to be ineligible for forgiveness of the loan, in whole or in part.

Acquisition

Effective January 14, 2021, Keep America Beautiful, Inc. entered into an Asset Transfer Agreement with RETREET. RETREET's mission is to provide disaster relief to communities on a local, national, and international level by leading volunteers in restoring decimated urban forests and to inspire and educate people to take action every day to improve and beautify their community environment. Keep America Beautiful, Inc. will be the sole surviving organization as a result of the acquisition.